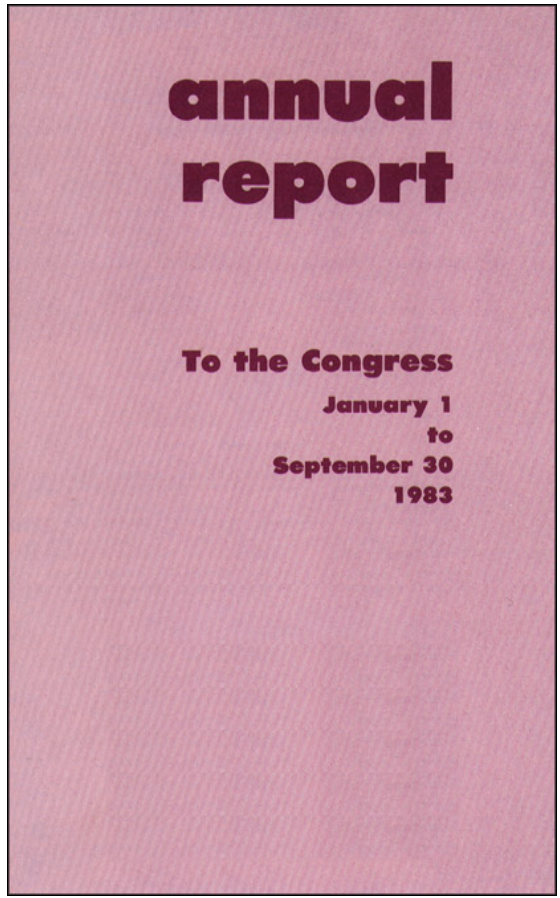
Annual Report to the Congress: January 1 to September 30, 1983

March 1984





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Section I.-Statements by the Chairman and Vice Chairman of the Board, TAAC Chairman, and the Director of OTA

CHAIRMAN'S STATEMENT-CONGRESSMAN MORRIS K. UDALL

The year 1983 was very productive for the Office of Technology Assessment. OTA made substantive contributions to about 60 different committees and subcommittees. They ranged from major, comprehensive reports to testimony and special analyses, Considering the complex and controversial nature of the issues OTA must deal with, it is commendable that its work continues to be given uniformly high marks for quality, fairness, and usefulness.

During 1983, OTA was active in such diverse areas as hazardous and nuclear waste management, acid rain analyses, cost containment of health care, technology and trade policy, Love Canal, wood use, and polygraphs. The evidence of testimony, briefings, other requests for assistance, as well as reception of OTA's products by committees emphasizes the contribution made by OTA to the legislative process.

VICE CHAIRMAN'S STATEMENT-SENATOR TED STEVENS

The lives of our citizens and the issues of government have increasingly been influenced by science and technology. Congressional committees and Members are drawn into the complexity of science and the controversies involving technology as they face the necessary decisions of government. OTA serves as a shared resource of technical and analytical expertise for all committees. OTA's organization and procedures enable it to draw on diverse outside sources of information and advice. This enables OTA to bring to committees a synthesis of national wisdom about key issues, and alternative options for Congress to consider.

The problems faced by Congress are getting increasingly complicated and technical. Over its first decade of existence, OTA has developed and tested a way of providing information that now makes it an essential tool of Congress.

TAAC CHAIRMAN'S STATEMENT-CHARLES N. KIMBALL

During 1983, the Technology Assessment Advisory Council (TAAC) examined several current and recently completed assessments, reconfirming that OTA effectively uses information and advice from across the Nation in carrying out its analyses and critically reviewing its work before publication. TAAC also reviewed the various activities associate with "delivery" of OTA's work, mainly to Congress.

In this regard it is important to note that, from the outset, effective communication with interested committees needs to be maintained. Delivery is far more than transmittal of a document; it is a process that begins with scoping of the work plan, continues in the form of briefings, testimony, and some interim documents, is formalized in publication of the formal report, and then is further employed (sometimes for years) in the form of selected deliveries and follow-on analyses.

Thus OTA's relatively small staff plays several key roles: formulation of studies, organization of work, analysis and synthesis of results, and delivery to Congress. We believe that no other Federal organization is comparably organized in this way, and that the agency is thus uniquely able to serve Congress in wrestling with complex sociotechnical issues.

TAAC has given some thought to the kinds of issues that continue to merit OTA's attention. These include:

1. long-term implications of advances in life sciences and their application to health care and biotechnology;
2. the general condition of science and technology in the United States, e.g., as it affects long-term economic competitiveness;
3. physical infrastructure issues, including transportation and utilities; and
4. national security questions, especially the impacts of technology.

During 1984, in response to TAB's invitation, TAAC will examine in more detail the kinds of issues we from outside Congress believe merit OTA's attention, At this point it is our impression that the present agenda of work is extraordinarily broad, clearly relevant to public policy questions, and of unusually high quality.

DIRECTOR'S STATEMENT-JOHN H. GIBBONS

"A sense of the future is behind all good politics. Unless we have it, we can give nothing—either wise or decent—to the world. "

C. P. Snow

By the time this report is printed we'll be well within Orwell's year. Of course Orwell picked 1984 rather arbitrarily—his famous novel was written in 1948 so he simply reversed the last two digits. But it serves to remind us of an enigma—the importance of thinking ahead, yet the impossibility to predict the long-term future of the human enterprise with any precision.

OTA was not created to predict the future, but rather to provide a perspective of implications for the future of alternative present actions, and to maintain for Congress a *sense* of the future and implications of emerging developments in science and technology.

The rapidly unfolding saga of science and technology was never more apparent than in 1983, and no abatement appears on the horizon. As usual, there is bad news along with the good. The microscopic world of cells, molecules, and solids of various kinds, combined with human scholarship and inventiveness, is yielding improved ways to communicate, save energy and other resources, diagnose and treat disease, better our crops, and entertain ourselves. But is also makes warfare all that more terrifying, undermines privacy, and revolutionizes our workplace in troublesome ways.

Since Orwell wrote "1984," the molecules of heredity have been discovered, The understanding of the splendid and spectacular mysteries of living things is growing at a blistering pace. We now know the complete chemical structure of some viruses, and are within striking distance of determining the total genetic specification of bacteria. The implications of the extraordinary advance in knowledge are a continuing activity at OTA.

While new knowledge merits a lot of investment and attention, existing resources and institutions are also keys to our survival, growth, and quality of life. Therefore OTA devotes considerable effort to analyzing the state and health of such resources as air and water quality, land productivity, materials, energy, international competitiveness of U.S. industry, the quality and cost effectiveness of health care, and critical areas of national defense.

It is neither possible nor desired that OTA be the fount of wisdom on such a broad array of topics. Therefore, by design, OTA is organized to catalyze and synthesize information on controversial technical issues and to present the facts and alternative options to Congress. Since these issues are of interest to many different congressional committees, OTA acts as a shared, nonpartisan resource for Congress and, through Congress, for the American people.

Section II.-Year in Review

The assessments carried out by OTA cover a wide spectrum of major issues that Congress and the country are facing. A brief summary of each report, published by the Office during the year* is presented in this section. The reader is cautioned that these are synopses of reports. They do not cover the full range of options considered or all of the findings presented in any individual report.

Wood Use: U.S. Competitiveness and Technology

The United States could greatly expand its role in world forest products trade over the next decade and become a net exporter of solid wood and paper products before 1990. For the past 30 years, the United States typically has imported more forest products than it has exported. However, because exports have grown faster than imports, the trade deficit has narrowed. This trend is likely to continue.



Wood Use
U.S. Competitiveness and Technology

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Global demand for a wide range of forest products is growing rapidly, and the best trade opportunities for U.S. producers appear to be in the paper markets of other industrialized nations, particularly Western Europe and Japan, In contrast to many basic U.S. industries, the forest products industry has distinct advantages over its foreign competitors. It is the most productive and among the most efficient in the world, benefiting from a vast and highly productive domestic forest resource.

To capitalize on international trade opportunities, the forest products industry and the Federal Government probably will have to make concerted efforts to promote exports. Although responsibility for developing foreign markets rests primarily with the private sector, Government action could assist in overcoming trade barriers which currently inhibit the competitiveness of U.S. wood products in foreign markets.

Past Government and private sector concerns regarding a possible domestic timber shortfall no longer seem justified. Future timber needs, especially for housing but also for other products, probably have been overestimated. The effects of intensive timber management and the ability of wood utilization technology to stretch the wood resource, have probably been underestimated.

If current trends toward more intensive forest management continue, domestic needs for wood probably can be met without dramatic price

*This OTA Annual Report represents a transition from calendar year reporting to fiscal year reporting. It therefore covers the period January 1 through September 30, 1983.

increases. To achieve the full economic potential of U.S. forestlands, however, some changes in policy would be needed, as would an estimated investment of $10 billion to $15 billion in intensive timber management over the next 35 to 50 years,

Although both the Government and private sectors are now investing in intensive timber management, it is unlikely that current trends will lead to full utilization of U.S. forests. Although the Federal Government does provide financial and technical assistance to nonindustrial private landowners, who own nearly 60 percent of the Nation's commercial timberland, this assistance is often limited by budget constraints and is not necessarily targeted to lands most capable of providing increased timber supplies. Greater emphasis on small-scale forestry research, technical assistance, education, and information programs, combined with more accurate channeling of such assistance to the most suitable recipients, could stimulate private forest productivity.

Under the guidance of the National Forest Management Act of 1976, the U.S. Forest Service periodically prepares programs for and assessments of the Nation's renewable resources. These programs, however, provide little analysis of policies and programs not administered specifically by the U.S. Forest Service, although there are many Federal, State, and local agencies which influence timber supply from public and private lands. The need for increased investments in forest productivity and research and development will be easier to establish with national timber production goals to serve as a guide.

Formulation of forest policy requires up-to-date information about forest acreage, inventories, and growth trends, and realistic assumptions about future demands for forest products. Improvements in the current system for estimating prospective timber supplies and demands are needed if decisionmakers are to have adequate information for design and funding of timber management programs, private landowner assistance, and research needs.

Existing and emerging technologies enable a broad range of wood products to be manufactured from currently underutilized hardwood species and from waste wood material. Expanded research in basic wood chemistry and engineering properties, and research on utilization of hardwoods and waste wood, could increase wood's long-term competitive position relative to other materials, as well as the competitiveness of the U.S. forest products industry. Increased research on hardwood and waste wood utilization could also extend U.S. wood supplies,

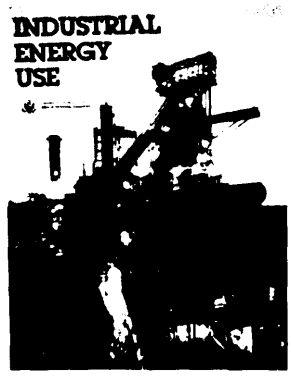
Commercial timber production is only one of the many uses for U.S. forestland. Broad-scale intensive forest management may result in increased soil loss, altered wildlife habitat, reduced water quality, and lower soil productivity. The environmental impacts of intensive forestry are not well understood, and further research on its effects will be needed if the practice becomes more widespread.

Significant changes in Federal programs and policies probably are not required to ensure that future domestic forest products needs are met. However, OTA has identified four general policy options which Congress could consider to increase the domestic and international competitiveness of the forest products industry:

1. Encourage research and development of forestry-related and wood utilization technologies, particularly small-scale forestry research suited to the needs of nonindustrial private landowners, basic wood chemistry and physical properties research, hardwood and waste wood utilization, and research on the environmental effects of intensive timber management.
2. Assist exporters through negotiated reduction in barriers to trade, including tariffs, quotas, and nontariff barriers.
3. Promote the use of U.S. wood products and building techniques overseas, using the Foreign Agriculture Service's experience in agricultural export promotion as a model.
4. Improve the quality of information needed for forest policy formulation, The greatest information needs are for up-to-date timber growth and inventory trends and improved forecasting methods which provide decisionmakers with realistic ranges of possible future timber supply and demand.

Industrial Energy Use

For many years to come, energy need not constrain economic growth in the United States. OTA projects that over the next two decades, investments in new manufacturing processes, a shift to less energy-intensive products, and technical innovation will lead to substantially increased energy efficiency. At the same time, these improvements will increase industrial profitability and competitiveness. As a result, OTA projects that the rate of industrial production can grow considerably faster than the rate of energy use needed for that production.



Corporate investment decisionmaking appears to recognize this link between productivity and energy efficiency, All corporate projects are evaluated in terms of product demand, competition, cost of capital, cost of labor, energy and materials, and Government policy. Energy-related projects are only part of an overall strategy to improve profitability and enhance a corporation's competitive position, OTA has found that corporate capital projects directed solely at improving energy efficiency are not given special status, although energy cost is an important consideration in investment decisions.

OTA examined the four most energy-intensive industries in the U.S. manufacturing sector: paper, petroleum refining, chemicals, and steel. Historical energy use was analyzed, new technologies identified that could improve energy efficiency, and future energy demand projected. In the paper industry, energy use has risen slightly since 1972, but the industry is now more energy self-sufficient. In 1981, the pulp and paper industry generated half of its energy needs from wood residues.

From now through 2000, projections for the petroleum refining industry show a decline in product output, but continued, if only slight, improvement in energy efficiency. Efficiency gains will be offset by a shift to high-sulfur, heavier crude oil feedstock, and a need for additional processing of raw materials to meet market demand for high-octane, unleaded gasoline.

Projections for the chemicals industry indicate an increase in energy efficiency through a combination of technological improvements to existing process equipment, technical innovation in developing new processes, and a shift from commodity chemicals, such as chlorine, to less energy-intensive specialty chemicals, such as pharmaceuticals.

As the steel industry rebuilds to meet foreign competition, production will grow slowly, and will show a large reduction in energy intensity due to greater use of two new processes: the replacement of ingot casting by continuous casting, and the substitution of electric arc furnaces for the blast furnace/basic oxygen furnace combination of traditional steelmaking.

OTA examined four policy options for their effects on industrial energy use. Two options were directed specifically at energy conservation investments, while the remaining two were aimed at stimulating all investment.

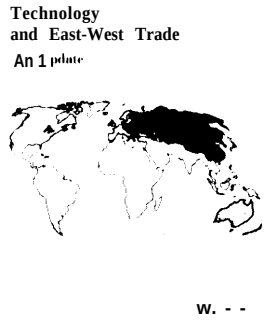
OTA's findings suggest that the most effective Government policies to promote the efficient use of energy are not those specifically targeted to energy use, but those that improve the economic outlook and investment climate by lowering interest rates and expanding demand for goods and services. Specifically, OTA concludes that:

- Reduction in capital costs would be the most effective means of stimulating investments that increase energy efficiency. It would also enhance the effect of the recently enacted accelerated cost recovery system (ACRS).
- ACRS depreciation is a positive stimulus to investment, and thus to energy conservation. But, this effect is only significant when industry is profitable and growing.
- Energy investment tax credits at a lo-percent level have little direct influence on capital allocation decisions in large American firms, and thus have little or no effect on energy conservation. However, energy investment tax credits aimed at third-party financing of energy production, such as cogeneration of steam and electricity, would be effective,

- A tax on premium fuels would stimulate investment in energy-efficient processes and products but would also have negative effects, For example, a premium fuels tax would increase the chemicals industry's vulnerability to foreign competition and adversely affect product sales of the petroleum refining industry.

Technology and East-West Trade: An Update

The recent controversies over trade sanctions and export controls have focused attention on the Export Administration Act, whose renewal is now before Congress. *Technology and East-West Trade: An Update,* discusses a range of legislative proposals in terms of four key policy perspectives:



- national security: making Soviet acquisition of militarily relevant Western technology as difficult and costly as possible;
- foreign policy: safeguarding the President's flexibility in using export controls to advance U.S. foreign policy interests;
- efficiency: making the licensing system more predictable, consistent, and efficient to enable U.S. exporters to plan ahead and to increase compliance; and
- trade promotion: reducing trade restrictions, especially foreign policy controls.

Some of these views are mutually compatible. For example, it is perfectly possible to strengthen national security controls while promoting flexibility in foreign policy controls. Some combinations, however, are inherently in conflict. The conflict between national security and export promotion is obvious, but there are others. For example, the very existence of foreign policy controls over exports introduces an element of unpredictability into export licensing, which works against both efficiency and trade promotion.

The perceived importance of national security controls has risen, as evidence has accumulated that the Soviets have a coordinated and effective program to obtain and exploit Western technology for military purposes. Soviet efforts include both legal and illegal transfers, More effective administration and enforcement of existing controls may be more productive than controlling additional items or categories,

While U.S. trade with the U.S.S.R. is small and likely to remain so, it is important for particular sectors (e. g., grain) and firms (e. g., Caterpillar). Retroactive and extraterritorial controls may have an adverse impact on West-West trade, which far exceeds East-West trade in importance to the United States.

The embargoes on grain and oil and gas technology dramatically illustrate the difficulties of a policy of trade leverage against the Soviet

Union. The sanctions did hurt vulnerable sectors of the Soviet economy, but probably not enough to make a real economic difference. In fact, although such calculations are highly uncertain, the sanctions may have done more damage to the U.S. economy than the Soviet economy. Nor did they change Soviet behavior. The Soviet Union may even have benefited from the public display of Western disunity following the imposition of the pipeline sanctions, which were applied to preexisting contracts of U.S. subsidiaries and licensees based overseas.

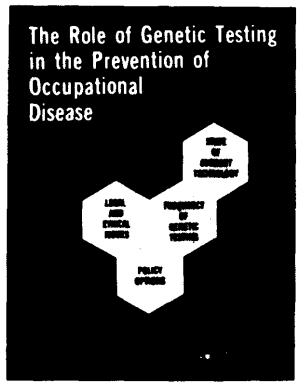
Moreover, tight U.S. export controls require the cooperation of our Allies to have a real effect on the U.S.S.R. Allied cooperation works reasonably well only where there is agreement on what should be controlled. Despite their agreement to conduct policy studies on East-West trade, there is little evidence that the West European countries and Japan will endorse the Reagan administration's position. Their future trade relations with the U.S.S.R. will be shaped more by their own domestic imperatives and worldwide economic forces than by U.S. concerns.

Although the principal issues remain much the same, the stakes in East-West trade have escalated since 1979, when Congress passed the Export Administration Act. Congress was unwilling then to make consistent choices between the goals of national security and export promotion. The result was ambiguous legislation, which has allowed Presidents Carter and Reagan to pursue their own policies, in each case giving foreign policy considerations priority over U.S. export trade.

This report is an update of a more comprehensive OTA report published in 1979.

Role of Genetic Testing in the Prevention of Occupational Disease

Genetic testing in the workplace is an emerging technology that could help reduce occupational disease, but there is concern about its potential misuse. Although none of the genetic tests evaluated by OTA meets established scientific criteria for routine use, existing evidence suggests the value of further research. Routine use of genetic testing, however, would raise significant legal, ethical, and policy questions.



Occupational disease has a serious and far--reaching impact both on society as a whole and on individuals. Genetic testing may be helpful in reducing the incidence of disease resulting from exposure to chemicals and ionizing radiation (e.g., X-rays). The testing encompasses two types of techniques. Genetic screening involves examining an individual for certain inherited genetic traits on the assumption that the traits may predispose the person to disease when he or she is exposed to potentially hazardous chemicals. Genetic monitoring involves examining a group of workers for environmentally induced changes in the genetic material of certain cells in their bodies. The underlying assumption is that the changes indicate exposure to hazardous agents (chemicals or radiation) and that the group may be at an increased risk for disease. The information that might be provided by genetic testing would allow employers or employees to take preventive actions, but some people fear that it could result in employees being unfairly excluded from jobs.

Because of conflicting accounts about the extent of testing in the workplace and the use of the results, OTA surveyed the Fortune 500 industrial companies, the 50 largest private utilities, and 11 major unions representing the largest number of employees in these companies. Of the 366 organizations responding, 6 currently were using one or more tests. 17 used some of the tests in the past 12 years, 4 anticipated testing in the next 5 years, and 55 stated they possibly would test in the next 5 years, Actions taken as a result of testing ranged from informing an employee of potential problems to changing or discontinuing a product. In view of the small number of organizations testing and inherent methodological limitations in the survey, generalization of the results to the entire survey population or US. industry as a whole is not warranted,

Although the law has generally not dealt with genetic testing, many existing legal principles are directly applicable to the issues raised by this technology. An employer is responsible for workplace safety, but would not be required to use genetic testing. Under the Occupational

Safety and Health Act of 1970, the Secretary of Labor could require genetic testing, if the techniques were shown to be reliable and reasonably predictive of future illness, or could regulate testing, but only in relation to employee health. The act grants no direct authority to protect employees or job applicants from employment discrimination.

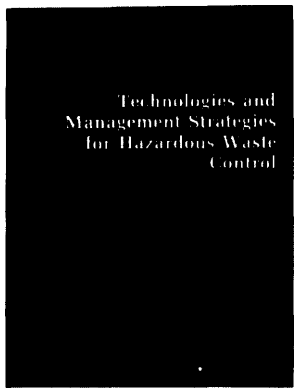
Job applicants or employees who were victims of adverse job actions because of their genetic makeup may have some rights under Federal and State antidiscrimination statutes, and, if genetic makeup were considered a handicap, under the Rehabilitation Act of 1973.

Ethical principles provide some guidance for the appropriate uses of genetic testing. Because of the low correlation between genetic traits or genetic damage from exposure and disease, it would be unethical, for instance, for an employer to deny an applicant a job because of test results.

Congress could take a number of specific actions to promote or control genetic testing. The options include funding additional research for the development of more reliable and predictive tests and constraining employment actions that may be taken on the basis of genetic testing.

Technologies and Management Strategies for Hazardous Waste Control

The Environmental Protection Agency's (EPA) regulations do not assure consistent nationwide levels of protection for human health from the potential effects of massive annual accumulations of hazardous waste.



These regulations for hazardous waste management do not effectively detect, prevent, or control the release of toxic substances into the environment, particularly over the longer term. Yet every year 1 metric ton (tonne) of hazardous waste is added to the environment for every individual in the Nation. Moreover, financial restraints and lack of technical resources will make it difficult for States to fulfill their increased responsibility for waste management policy.

Industry and government are spending $4 billion to $5 billion annually to manage the approximately 250 million tonnes of regulated hazardous waste generated each year, The annual costs are expected to rise to more than $12 billion (in 1981 dollars) in 1990. Some States have stricter definitions for hazardous waste than the Federal program, which regulates about 40 million tonnes annually.

As their responsibilities mount, States fear reductions in Federal support and seek a stronger policy role. States sometimes cannot raise even the required minimum 10 percent of initial Superfund cleanup costs—and they must assume all future operation and maintenance costs.

Because there are no specific Federal technical standards for determining the extent of Superfund cleanup, and because there is an incentive under EPA rules to minimize initial costs, remedial actions may be taken that will prove ineffective in the long term. Much of the $10 billion to $40 billion which will be needed for cleaning up the 15,000 uncontrolled sites of previous disposals so far identified maybe wasted. When Superfund expires in 1985, many uncontrolled sites still will require attention. It is estimated that only $1,6 billion will be collected under Superfund by 1985 for cleanup of these sites.

Inappropriate disposal of hazardous waste on land creates the risk of contaminating the environment, including ground water, which could cause adverse health effects and for which cleanup actions are costly and difficult. As much as 80 percent of regulated hazardous waste—some of which may remain hazardous for years or centuries—is disposed of in or on the land.

In addition, millions of tonnes of federally unregulated or exempted hazardous wastes are disposed of in sanitary landfills (meant for ordinary solid wastes) and pose substantial risks. Such exemptions cover all types of hazardous wastes from generators producing less than 1 tonne a month, and other types of waste, such as infectious waste.

Current policies are likely to lead to the creation of still more uncontrolled sites which will require Superfund attention. The unregulated burning of wastes as fuel supplements in home and industrial boilers may result in toxic air pollutants.

Greater use of alternatives to land disposal could increase industry's near-term costs significantly. However, years or decades from now, cleaning up a site and compensating victims might cost 10 to 100 times today's costs of preventing releases of hazardous wastes.

Federal policies may reduce industry's costs of land disposal by shifting some long-term cleanup and monitoring costs to government or to society as a whole. The effect may be to retard the adoption by industry of alternatives such as waste reduction and waste treatment.

A key policy issue is: Can unnecessary risks and future cleanup costs be eliminated by limiting the use of land disposal, and by making alternatives to it more attractive?

The Federal regulatory program for hazardous waste management was established by the 1976 Resource Conservation and Recovery Act (RCRA), primarily concerned with the proper management and permitting of present and future wastes; and the Comprehensive Environmental, Response, Compensation, and Liability Act of 1980 (CERCLA), or Superfund, enacted to deal with the many substantiated and potential hazards posed by old and often abandoned uncontrolled hazardous waste sites. The OTA study supports the need for greater integration by EPA of these two programs.

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Policy Options

OTA has identified four policy options—beyond maintaining the current Federal program—which could form the basis for an immediate and comprehensive approach to protecting human health and the environment from the dangers posed by mismanagement of hazardous waste:

1. Extend Federal controls to more hazardous wastes, and establish national regulatory standards based on specific technical criteria. Also restrict disposal of high-hazard wastes on land and improve procedures for permitting facilities and deregulating wastes.
2. Establish Federal fees on waste generators to support Superfund and to provide an economic incentive to reduce the generation of waste and discourage land disposal of wastes; impose higher fees on generators of high-hazard wastes that are land-disposed; provide assistance for capital investments and research and development for new waste reduction and treatment efforts.
3. Study the costs and advantages of classifying wastes and waste management facilities by degree of hazard to match hazards and risks with levels of regulatory control.
4. Examine the need for greater integration of Federal environmental programs to remove gaps, overlaps, and inconsistencies in the regulation of hazardous waste, and to make better use of technical data and personnel.

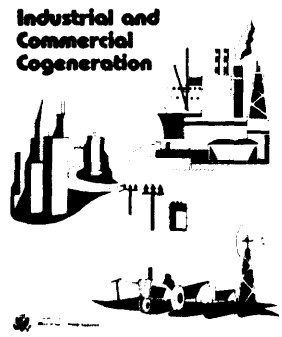
Key Issues and Findings

- Current monitoring practices and EPA requirements under RCRA–especially for land disposal sites—do not lead to a high level of confidence that hazardous releases will be detected and responsive action quickly taken.
- There are numerous technically feasible management options for hazardous wastes, but they are not being used to their full potential. On the whole, Federal programs indirectly provide more incentive for land disposal than for treatment alternatives that permanently remove risks, or for waste reduction—although technologies are available to reduce waste,
- States are being given increasing responsibilities by EPA without matching technical and financial resources. A lack of State funds often prevents Superfund cleanups. A Federal fee system on waste generators could also be used to support State programs. EPA should make better use of State data and expertise.
- Actions that enhance public confidence in the equity, effectiveness, and vigorous enforcement of government programs may reduce public opposition to siting hazardous waste facilities. Opposition may also be reduced by improvement in the dissemination of accurate technical information on issues such as waste treatment alternatives to land disposal,

- EPA's risk assessment procedures for selecting Superfund sites and for developing RCRA regulations have serious technical inadequacies that weaken protection of the public.
- Data inadequacies conceal the scope and complexity of the Nation's hazardous waste problems and impede effective control. There is a need for a long-term, systematic EPA plan for obtaining more complete, reliable data on hazardous waste, facilities, sites, and exposure to and effects from releases of harmful substances.
- Wastes can be classified into at least three categories of hazard and, combined with facility classes, might form a technical base for Federal regulatory policies.

Industrial and Commercial Cogeneration

Cogeneration–the combined production of electricity and useful thermal energy—could contribute significantly to reduced costs and greater planning flexibility for electric utilities, and to increased energy efficiency in industrial facilities, commercial buildings, and rural/agricultural areas. But cogeneration's potentially large market will be limited by technical, economic, and institutional constraints. These include the difficulties in using lower cost solid fuels; competition with conservation measures; mismatches between the ratio of need for electric and thermal energy and the ratios typically produced by a cogenerating unit. The high cost of investment capital will limit opportunities further.



To achieve potential long-term benefits for electric utilities, cogeneration systems must use abundant solid fuels and produce high ratios of electricity to steam (E/S). But the available high E/S systems can use only oil or natural gas. Therefore, research and development efforts should concentrate on developing high E/S cogenerators that can burn solid fuels cleanly, and on advanced combustion and conversion systems such as fluidized beds and gasifiers.

Utility ownership could increase the amount of production as well as the reliability of cogenerated electricity. However, such ownership is at a competitive disadvantage because the Public Utility Regulatory Policies Act of 1978 (PURPA) limits qualifying projects to those in which a utility owns less than 50 percent equity. If the PURPA limitation were removed, concerns about the possible anticompetitive effects of utility ownership could be alleviated through careful State review of utility ownership schemes.

For the near term, natural gas will be the preferred cogeneration fuel where the marginal or avoided cost rates for utility purchases of cogenerated electricity are based on the price of oil, and where natural

gas is available. In the long term, however, natural gas is likely to be too costly for natural-gas-fired cogeneration to compete economically with electricity generated at central station coal, nuclear, or hydroelectric powerplants.

Cogeneration also must compete for investment capital with conservation, which reduces steam loads—and therefore cogeneration's technical potential—and which often has lower unit capital costs and shorter payback periods than cogeneration.

Costs.—The mean capital costs for commercially available cogenerators tend to be 20 to 40 percent lower per kilowatt than central station generating capacity. Also, the relatively small unit size and the shorter construction leadtimes of cogeneration systems mean substantial interest cost savings during construction, and greater flexibility for utilities in adjusting to unexpected changes in electricity demand than the overbuilding of central station capacity.

Electricity Prices.—Cogenerators have potentially lower unit costs for generating electricity than central station powerplants. However, these savings will not necessarily mean lower electricity rates if the price paid to the cogenerator—based on avoided costs—is higher than the utility's retail rates. A price that is less than the utility's full avoided cost, with the difference going toward rate reduction, would share any cost savings from cogeneration with the utility's other ratepayers, but would not provide the maximum possible economic incentive to potential cogenerators.

Interconnection.—The primary issues are the utilities' legal obligation to connect generators with the grid, the cost of the equipment, the lack of uniform guidelines, and the uncertain potential for utility system stability problems. Most of the technical aspects of interconnection are well understood, but additional research is needed to determine whether many cogenerators not centrally dispatched will cause utility system stability problems. If PURPA is not amended to require interconnection, and if utilities do not interconnect voluntarily, then the cost of obtaining an interconnection order from the Federal Energy Regulatory Commission could be prohibitive for many potential cogenerators.

Air Quality Impacts.—Cogeneration will not automatically offer air quality improvement or degradation compared to the separate conversion technologies it will replace. Rather, its impact will vary considerably from case to case. Adverse local air quality impacts from cogeneration are most likely to occur in urban areas,

TECHNICAL MEMORANDA

U.S. Natural Gas Availability: Conventional Gas Supply Through the Year 2000

Describes and evaluates alternative estimates of the conventional natural gas resource base of the lower 48 States; describes and interprets past and current trends in discovery and production of this gas resource; and projects a credible range of potential (conventional) gas production for the next 15 to 20 years.

Quality and Relevance of Research and Related Activities at the Gorgas Memorial Laboratory

Examines the Gorgas Memorial Institute of Tropical and Preventive Medicine, Inc., and its research arm, the Gorgas Memorial Laboratory (GML). It focused on: the quality of research and related activities at GML, and the relevance of GML's work to Panama, tropical America, the United States, and the advancement of tropical medicine knowledge.

Diagnosis Related Groups (DRGs) and the Medicare Program: Implications for Medical Technology

Examines diagnosis related groups, their use in the Medicare payment system, and the potential impact on medical technology use and adoption and on technological change. Also examines the construction of DRGs, and discusses issues in implementation of the system.

Habitability Issues Related to Love Canal

Based on a report published by the U.S. Environmental Protection Agency (EPA) in May 1982, which was reviewed by a multidisciplinary team of consultants for several Federal agencies, the U.S. Department of Health and Human Services judged the Love Canal, N. Y., to be "as habitable as the control areas with which it was compared." OTA critically reviewed EPA's habitability decision.

Agricultural Postharvest* Technology and Marketing Economics Research

Examines the role of the public sector in postharvest technology and marketing economics research. It describes the development of the public sector research effort; measures the cost, benefits, burdens, and quality of the research; presents guidelines for the public and private research participants; and evaluates the public sector management and policy programs.

Unispace '82: A Context for Intonational Cooperation and Competition

Discusses the issues that arose at this international conference, the positions taken by the United States, and the lessons that can be applied to future international cooperation and future civilian activities in space.

Automation and the Workplace: Selected Labor, Education, and Training Issues

Discusses concepts for evaluating the impacts of manufacturing automation, and describes the conduct of education, training, and retraining for persons seeking or holding jobs in manufacturing industries.

BACKGROUND PAPERS

The Impact of Randomized Clinical Trials on Health Policy and Medical Practice

Provides materials about the history and conduct of randomized clinical trials (RCTS), a family of experiments designed to evaluate the efficacy and safety of medical technologies. It examines the levels of funding over time and the actual and potential use of RCTS in forming health policy. The paper also reviews the ways in which RCTS have affected different areas of medical practice. Finally, it draws together suggestions from the literature and from people knowledgeable in the field for more effective use of RCTS in policymaking and in improving the practice of medicine.

Water-Related Technologies for Sustainable Agriculture in U.S. Arid/Semiarid Lands: Selected Foreign Experience

Highlights examples of water-related technologies that have been successfully applied in arid and semiarid foreign countries in a manner not being applied in the United States—integrated irrigation management in Pakistan, intensive water use planning in Israel, cooperative plant breeding in Senegal, native game ranching in Kenya, and guayule production in Australia.

Sustaining Tropical Forest Resources: U.S. and International Institutions

Describes Government, academic, and private sector institutions in the United States that are developing or implementing technologies to sustain tropical forest resources.

Sustaining Tropical Forest Resources Reforestation of Degraded Lands

Describes the state of the art in use of forestry technologies to restore the productivity of tropical lands that have been degraded because of human activity.

Technology, Innovation, and Regional Economic Development: Cencus of State Government Initiatives for High-Technology Industrial Development

Identifies dedicated State government programs for high-technology firms.

The Information Content of Premanufacture Notices

The study assesses the extent to which current premanufacturing notice submissions either fulfill or compromise efforts to perform the preventive health and environmental protection mandate of the Toxic Substances Control Act.

Technology and Handicapped People, Background Paper #2: Selected Telecommunication Devices for Hearing-impaired Persons

Examines specific factors that affect the research and development, evaluation, diffusion and marketing, delivery, use, and financing of technologies directly related to disabled persons.

CASE STUDIES

Medical Technology and Costs of the Medicare Program: Variation in Hospital Length of Stay: Their Relationship to Health Outcomes

Examines evidence on how variations in length of hospital stay affect patient outcomes and the implications of changes in length of stay for quality of care, access, and Medicare program costs.

Medical Technology and Costs of the Medicare Program Efficacy and Cost Effectiveness of Therapeutic Apheresis

Examines the scientific literature on the safety, efficacy, and costs of the therapeutic apheresis (a costly procedure used to treat an increasing number of medical conditions) with particular emphasis on implications for the Medicare program.

Medical Technology and Costs of the Medcare Program: THe Effectiveness and Costs of Alcoholism Treatment

Examines the evidence of alcoholism treatment in a variety of settings: inpatient care, outpatient hospital care, community-based treatment centers, etc., as well as the effectiveness of various methods of treatment (chemical aversion therapy, group therapy, and Alcoholics Anonymous].

WORKSHOP PROCEEDINGS

Plants: The Potentials for Extracting Protein, Medicines, and Other Usefu; Chemicals

OTA conducted a workshop designed to identify technological opportunities and constraints for commercially developing protein, pharmaceuticals, chemicals, and other associated extracts from plants generally and tobacco specifically. OTA examined the potential impacts that such technologies might have on improving nutrition and food quality by increasing the availability of high-quality protein. Issues addressed include: quality of current data bases on chemistry of plant extracts; status of bioassay technologies; and social, economic, environmental, and political impacts that such new technologies might generate.

Section III. -Work in Progress

More than 25 projects were in progress during the period January I–September 30, 1983, including 11 new studies.

This section lists the titles of projects, as of September 30, 1983, by OTA's three divisions. For a fuller description of these projects, please refer to the current "Assessment Activities," OTA-PC-105, This booklet may be obtained from OTA by calling OTA's Publishing Office (202) 224-8996.

Energy, Materials, and International Security Division

Effects of technology on the American economic transition

Energy and Materials Program

Strategic responses to an extended oil disruption
Potential U.S. natural gas availability
Nuclear power in an age of uncertainty

Industry, Technology, and Employment Program

Wood in the U.S. economy, Vol. II—Technical Report
Technologies to reduce U.S. materials import vulnerability
Technology and structural unemployment: retraining adult displaced workers
Cleanup of uncontrolled hazardous waste sites under Superfund

International Security and Commerce Program

International competitiveness in electronics
Strategic command, control, communications, and intelligence systems
International cooperation and competitiveness in civilian space activities
Commercialization of land remote sensing (tech. memo)
Technology transfer to the Middle East

Health and Life Sciences Division

Food and Renewable Resources Program

Water-related technologies for sustainable agriculture in U.S. arid/semiarid lands
Technologies to sustain tropical forest resources
Technology, public policy, and the changing structure of American agriculture

Health Program

Evaluation of Agent Orange protocol (mandated study)
Health and safety control technologies in the workplace
Medical technology and costs of the Medicare program
Federal policies and the medical devices industry
Status of biomedical research and related technology for tropical diseases
Blood policy and technology

Biological Applications Program

Commercial biotechnology: an international analysis
Technology and aging in America
Alternatives to animal use in testing and experimentation

Science, Information, and Natural Resources Division

Communication and Information Technologies Program
- Patents and the commercialization of new technology
- Computerized manufacturing automation: employment, education, and the workplace
- Effects of information technology on financial services systems
- Information technology research and development
- Information and communication technologies and the office

Oceans and Environment Program
- Managing commercial high-level radioactive waste
- Acid rain and transported air pollutants: implications for public policy
- Wetlands: their use and regulation
- Technologies to measure, monitor, and mitigate ground water contamination

Science, Transportation, and Innovation Program
- Airport system development
- Civilian space stations
- Technology, innovation, and regional economic development
- U.S. passenger rail technologies

Section IV.-Organization and Operations

Created by the Technology Assessment Act of 1972 (86 Stat. 797), OTA is a part of and is responsible to the legislative branch of the Federal Government. OTA received funding in November 1973 and began operations as the second session of the 93d Congress convened in January 1974.

The act provides for a bipartisan Congressional Board, a Director, and such other employees and consultants as may be necessary to conduct the Office's work.

The Congressional Board is made up of six Senators, appointed by the President pro tempore of the Senate, and six Representatives, appointed by the Speaker of the House, evenly divided by party. In 1983, Cong. Morris Udall (D-Arizona) and Sen. Ted Stevens (R-Alaska) served as the Chairman and Vice Chairman, respectively, of the Board. The two posts alternate between the Senate and House with each Congress. The Board members from each House select their respective officer.

The Congressional Board sets the policies of the Office and is the sole and exclusive body governing OTA. The Board appoints the Director, who is OTA's chief executive officer and a nonvoting member of the Board.

The act also calls for a Technology Assessment Advisory Council comprised of 10 public members eminent in scientific, technological, and educational fields, the Comptroller General of the United States, and the Director of the Congressional Research Service of the Library of Congress. The Advisory Council advises the Board and the Director on such matters as the balance, comprehensiveness, and quality of OTA's work, and OTA's nongovernmental resources.

In providing assistance to Congress, OTA is to: identify existing or probable impacts of technology or technological programs; where possible, ascertain cause-and-effect relationships of the applications of technology; identify alternative technological methods of implementing specific actions; identify alternative programs for achieving requisite goals; estimate and compare the impacts of alternative methods and programs; present findings of completed analyses to the appropriate legislative authorities; identify areas where additional research or data collection is required to provide support for assessments; and undertake such additional associated activities as may be necessary.

INITIATION, PROCESSING, AND FLOW OF ASSESSMENTS

OTA's primary function is to provide congressional committees with assessments or studies that identify the range of probable consequences, social as well as physical, of policy alternatives affecting the uses of technology. Requests for OTA assessments may be initiated by:

. the chairman of any standing, special, select, or joint committee of Congress, acting alone, at the request of the ranking minority member, or at the request of a majority of the committee members;
- the OTA Board; or
- the OTA Director, in consultation with the Board.

The authorization of specific assessment projects and the allocation of funds for their performance is the responsibility of the OTA Board.

ORGANIZATIONAL STRUCTURE

The Office is organized into three operating divisions, each headed by an assistant director. The three divisions are Energy, Materials, and International Security; Health and Life Sciences; and Science, Information, and Natural Resources. They encompass assessments grouped in the areas of energy and materials; international security and commerce; industry, technology, and employment; biological applications; food and renewable resources; health; communication and information technologies; oceans and environment; and science, transportation, and innovation. See chart detailing OTA's organizational structure.

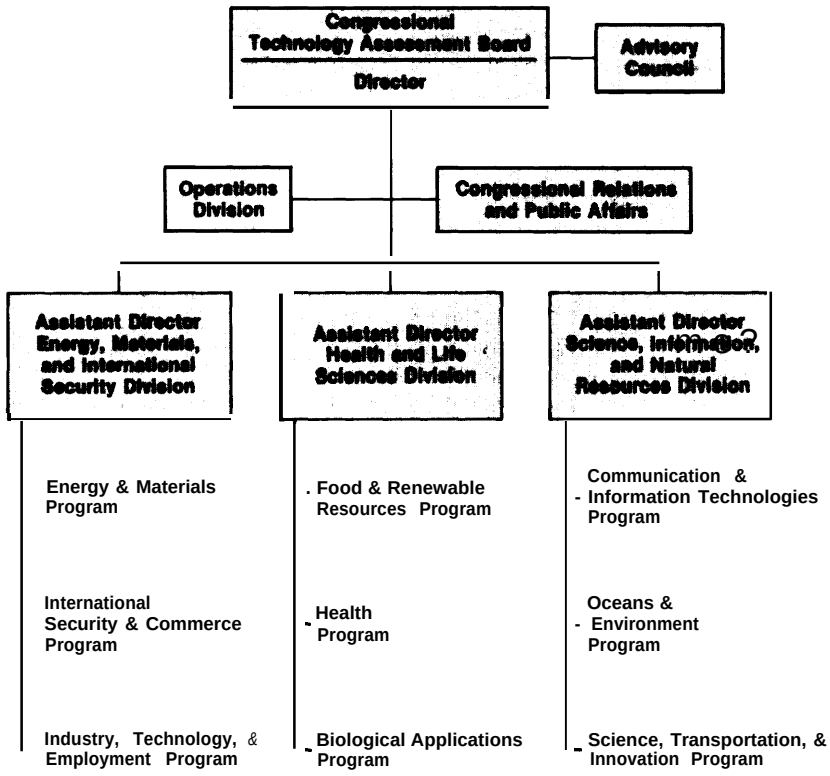
Staff professionals represent a wide range of disciplines and backgrounds, including the physical, biological, and environmental sciences, engineering, social sciences, law, and public administration. Professionals from executive branch agencies, detailed to OTA on a temporary basis, and participants in several congressional fellowship programs also contribute to the work of the Office.

Public Involvement

The private sector is heavily involved in OTA studies as a source of expertise and perspectives while an assessment is in progress. Contractors and consultants are drawn from industry, universities, private research organizations, and public interest groups.

OTA works to ensure that the views of the public are fairly reflected in its assessments. OTA involves the public in many ways—through advisory panels, workshops, surveys, and formal and informal public meetings. These interactions provide citizens with access to information and help OTA identify contrasts between the perspectives of technically trained and lay citizens.

OTA ORGANIZATION CHART



OPERATIONS

Publishing Activities

During the period January 1 through September 30, 1983, OTA delivered 36 published documents to Congress. These included: 11 assessment reports, 7 technical memoranda, 7 background papers, 3 health technology case studies, 1 workshop proceeding, and 7 administrative reports.

Requests for OTA Publications

During the period January 1 through September 30, 1983, OTA's Publishing Office received an average of 120 telephone and mail requests

per day. Additional requests were processed by OTA program offices and the OTA Congressional Relations and Public Affairs Office and are not included in the above statistics.

Private Sector Reprinting of OTA Publications

To date, 41 OTA publications have been reprinted (in whole or in part) by commercial publishers or private organizations. Among the reports reprinted during the 9-month period were the following:

- The International Council for Computers in Education, a nonprofit organization based in Eugene, Oreg., requested permission to reprint the *Summary: Information Technology and Its Impact on American Education* to be used in their international magazine THE COMPUTING TEACHER.
- Springer Publishing Co. (New York) reprinted the publication *Technology and Handicapped People.*
- The University of Phoenix requested permission to reprint the *Summary: The Implications of Cost-Effectiveness Analysis of a Medical Technology*. The document was used as learning material for a course on professional communications at the university.
- Harwood Academic Publishers (New York) requested permission to reprint the *Summary: Managing Commercial High-Level Radioactive Waste.*
- Pergamon International Information Corp. (Virginia) requested permission to reprint *MX Missile Basing* and *The Role of Genetic Testing in the Prevention of Occupational Disease.*
- Educational Research Service, Inc. (Virginia) reprinted the *Summary: Information Technology and Its Impact on American Education* in their periodical SCHOOL RESEARCH FORUM.
- ERIC Clearinghouse on Information Resources (funded by the National Institute of Education) requested permission to reprint the *Summary: Information Technology and Its Impact on American Education.*
- Nikkan Kogyo Shinbun-sha, a Japanese-based publishing company, requested permission to reprint in a Japanese version extractions of OTA's publication *Computer-Based National Information Systems.*

Private Sector Sales

The following is a partial listing of copies sold of reprinted OTA publications during calendar year 1983,

	Number of copies sold
Westview Press	
• Genetic Technologies: A New Frontier	3,905
• Technology and Soviet Energy Availability	395
c Cancer Risks: Assessing and Reducing the Dangers in Our Society	887
• Energy From Biological Processes: Technical and Policy Options	304
McGraw Hill Publishing Co.	
c World Petroleum Availability: 1980-2000	163
• Enhanced Oil Recovery Potential in the U.S.	75
• Energy From Biological Processes: Technical and Environmental Analyses	50
• An Assessment of Oil Shale Technologies	191
Allanheld, Osmun & Co.	
• Technology and East-West Trade	159
• Residential Energy Conservation	115
Q The Effects of Nuclear War	7,126
Cheshire Books	
c The Day After Midnight: The Effects of Nuclear War	12,000
	25,270

Sales of Publications

Government Printing Office.—Sales of OTA publications by the Superintendent of Documents continue to increase, In fiscal year 1983 the number of titles put on sale was 132 and GPO sold 33,125 copies.

National Technical Information Service. -NTIS sells scientific reports and papers that are, generally, not in great demand but are useful for scientific researchers. NTIS is the outlet for OTA's assessment working papers and contractor reports, plus those reports that are out of print by GPO. NTIS has sold 30,218 copies of OTA reports through September 1983.

Organizational Roster of OTA Staff as of September 1983

OFFICE OF THE DIRECTOR

John H. Gibbons, ***Director***
Sue Bachtel, Executive ***Assistant***
Holly Gwin, ***Secretary***
Barbara O'Bryan, ***Secretary***

Congressional Relations and Public Affairs Office

Edwin K. Hall, ***Director of CRPA***
Linda Long, ***Secretary***
Jean McDonald, ***Press Officer***
Annette Taylor, ***Assistant to the Press Officer***
Eugenia Ufholz, ***TAB/TAAC Relations***

Medical Services

Rose McNair, ***Resident Nurse***

ENERGY, MATERIALS, AND INTERNATIONAL SECURITY DIVISION

Lionel S. Johns, ***Assistant Director***
Beth Alexiou, ***Division Assistant***

Technology and Economic Transition

Henry Kelly, ***Project*** Director
Debra Harris, ***Administrative Assistant***

Energy and Materials Program

Richard Rowberg, ***Program Manager***
Thomas Bull, ***Senior Analyst***
Alan Crane, ***Project Director***
Nancy Naismith, ***Project Director***
Steve Plotkin, ***Project Director***
Mary Procter, ***Senior Analyst***
Pidge Quigg, ***Administrative*** Assistant
Jenifer Robison, ***Project Director***
James Ryan, ***Senior Analyst***
Edna Saunders, Secretary
Joanne Seder, ***Analyst***
Richard Thoreson, ***Senior Analyst***

International Security and Commerce Program

Peter Sharfman, ***Program Manager***
Douglas Adkins, ***Senior Analyst***
John Alic, ***Project Director***
Eric Bazques, ***Analyst***
Bruce Blair, ***Project Director***
Richard Dalbello, ***Analyst***
Martha Harris, ***Project Director***
Gordon Law, ***Senior Analyst***
Nancy Lubin, ***Analyst***
Dorothy Richroath, ***Editorial Assistant***
Jacqueline Robinson, ***Administrative Assistant***
Ray Williamson, ***Project Director***

Industry, Technology, and Employment Program

Audrey Buyrn, ***Program Manager***
Lance Antrim, ***Project Director***
Patricia Canavan, ***Secretary***
Carol Drohan, ***Administrative Assistant***
Wendell Fletcher, ***Senior Analyst***
Julie Gorte, Project Director
Joel Hirschhorn, ***Senior Associate***
Karen Larsen, ***Senior Analyst***
Suellen Pirages, Senior Analyst

HEALTH AND LIFE SCIENCES DIVISION

H. David Banta, ***Assistant Director***
Ogechee Koffler, ***Division Assistant***

Biological Applications Program

Gretchen Kolsrud, ***Program Manager***
Susan Clymer, ***Research Analyst***
Robert Cook-Deegan, Analyst
David McCallum, ***Senior Analyst***
Nanette Newell, ***Project Director***
Elma Rubright, *Administrative Assistant*
Louise Williams, ***Senior Analyst***

Food and Renewable Resources Program

Walter E. Parham, ***Program Manager***
Phyllis Balan, ***Administrative Assistant***
Nellie Hammond, ***Secretary***
Alison Hess, ***Research Analyst***
Barbara Lausche, ***Project Director***
Michael Phillips, ***Project Director***
Bruce Ross, ***Project Director***
Carolyn Swarm, ***Secretary***
Phyllis Windle, ***Analyst***

Health Program

Clyde Behney, ***Program Manager***
Anne Kesselman Burns, ***Project Director***
Virginia Cwalina, ***Administrative Assistant***
Hellen Gelband, ***Project Director***
Michael Gough, ***Senior Associate***
Jack Langenbrunner, ***Analyst***
Brenda Miller, Word ***Processor/P.C. Specialist***
Jennifer Nelson, ***Secretary***
Gloria Ruby, ***Analyst***
Jane Sisk, ***Project Director***

SCIENCE, INFORMATION, AND NATURAL RESOURCES DIVISION

John Andelin, ***Assistant Director***
Doris Smith, ***Division Assistant***

Communication and Information Technologies Program

Rick Weingarten, ***Program Manager***
Lauren Ackerman, ***Research Assistant***
Prudence Adler, ***Analyst***
Marjory Blumenthal, ***Project Director***
Beth Brown, ***Project Director***
Elizabeth Emanuel, ***Administrative Assistant***
Linda Garcia, ***Analyst***
Shirley Gayheart, ***Secretary***
Zalman Shaven, ***Project Director***
Jean Smith, ***Analyst***
Donna Valtri, ***Project Director***
Marsha Williams, ***Secretary***
Fred Wood, ***Project Director***

Oceans and Environment Program

Robert Niblock, ***Program Manager***
Chris Ansell, ***Research Analyst***
William Barnard, ***Project Director***
Kathleen Beil, ***Administrative Assistant***
Thomas Cotton, ***Project Director***
James Curlin, ***Senior Associate***
Robert Friedman, ***Project Director***
Joan Ham, ***Analyst***
Peter Johnson, ***Project Director***
Daniel Kevin, ***Analyst***
Jacqueline Mulder, ***Secretary***
Kay Senn, ***Secretary***
Paula Stone, ***Senior Analyst***

Science, Transportation, and Innovation Program

William Mills, ***Program Manager***
Phil Chandler, ***Analyst***
Marsha Fenn, ***Administrative Assistant***
Karen Gamble, ***Analyst***
Bryan Harrison, ***Word Processor Specialist***
Larry L. Jenney, ***Project Director***
Paul Phelps, ***Project Director***
Paula Walden, ***Research Analyst***

OPERATIONS DIVISION

Bart McGarry, ***Operations Manager***
Ann Woodbridge, ***Management Analyst***

Administrative Services

Thomas P. McGurn, ***Administrative Officer***
Susan Carhart, ***Director of Contracts and General Counsel***
Alexandra Ferguson, ***Contract Specialist***
Edith Franzen, ***Conference Center Coordinator***
Lisa Raines, ***Contract Specialist/Attorney***

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Budget and Financial Operations

Jane Easton, Budget and ***Finance Officer***
Joan Camino, Budget ***and Finance Assistant***
Carolyn Harris, Budget ***Specialist and Clerical Assistant***
Loretta O'Brien, ***Data Base Administrator***

Information Center

Martha Dexter, ***Manager, Information Services***
Suzanne Boisclair, ***Information Technician***
Vermille Davis, ***Information Technician***
Diane Rafferty, ***Asst.*** Manager, ***Information Services***

Personnel Office

William Norris, ***Personnel Officer***
Lola Craw, ***Personnel*** *Specialist*
Denise DeSanctis, ***Personnel Assistant***

Publishing Office

John C. Holmes, ***Publishing Officer***
John Bergling, ***Graphic Designer/ Illustrator***
Kathie S. Boss, ***Technical Specialist***
Debra Datcher, ***Administrative Assistant***
Joe Henson, ***Deputy Publishing Officer***

Appendixes

Appendix A
List of Advisors and Panel Members

ENERGY, MATERIALS, AND INTERNATIONAL SECURITY DIVISION

Energy and Materials Program

Industrial and Commercial Cogeneration Advisory Panel

James J. Stukel, ***Chairman***
Director
Public Policy Program
College of Engineering
University of Illinois

Roger Blobaum
Roger Blobaum & Associates

William H. Corkran
General Manager
The Easton Utilities Commission

Claire T. Dedrick*
Air Resources Board
State of California

Steven Ferrey
Energy Counsel
National Consumer Law Center, Inc.

Todd La Porte
Institute of Government Studies
University of California

Evelyn Murphy
c/o Evelyn Murphy Committee

Theodore J. Nagel
Senior Executive Vice President
American Electric Power Service Corp.

Thomas W. Reddoch
Associate Professor of Electrical Engineering
University of Tennessee

Bertram Schwartz
Senior Vice President
Consolidated Edison Co. of New York

Harry M. Trebing
Director, Institute of Public Utilities
Michigan State University

Thomas F. Widmer
Vice President, Engineering
Thermo Electron Corp.

Robert H. Williams
Center for Environmental Studies
Princeton University

Industrial Energy Use Advisory Panel

Herbert Fusfeld, ***Chairman***
Director
Center for Science and Technology Policy
New York University

E. Milton Bevington
President
Servidyne, Inc.

Harold Bogart
Consultant

Carlton Burtt
Equitable Life Assurance Society

William U. Chandler
Senior Associate
Worldwatch Institute

William Cunningham
Research Department
AFL-CIO

Gordon Geiger
Director of Technology
North Star Steel

J. M. Leathers
Vice President
Dow Chemical Co.

Harvey N. Morris
President
Harvey Morris Associates

John Myers
Professor
Department of Economics
Southern Illinois University

Henry Page
Manager
Federal Government Relations
Sun Refining & Marketing Co.

Rudolph G. Penner
Resident Scholar
American Enterprise Institute

Richard Pool
Associate Director of Energy
Kaiser Aluminum & Chemicals Corp.

*Ex-officio member from the OTA Technology Assessment Advisory Council

Rosalie Wolf
Treasurer
International Paper Co.

Nuclear Power in an Age of Uncertainty Advisory Panel

George Rathjens, ***Chairman***
Professor
Center for International Studies
Harvard University

James K. Asseltine
Commissioner
U.S. Nuclear Regulatory Commission

Jan Beyea
Senior Scientist
National Audubon Society

Richard Dean
Vice President
General Atomic Corp.

Thomas Dillon
Principal Deputy Assistant Secretary
for Nuclear Energy
U.S. Department of Energy

George Dilworth
Assistant General Manager
Tennessee Valley Authority

Linn Draper
Vice President
Gulf States Utilities

Victor Gilinsky
Commissioner
U.S. Nuclear Regulatory Commission

Fritz Heimann, Esq.
Counsel
General Electric Co.

Leonard Hyman
Vice President
Merrill Lynch, Pierce, Fenner & Smith

Robert Koger
Chairman
North Carolina Utilities Commission

Myron Kratzer
Vice President
International Energy Associates, Ltd.

Byron Lee
Senior Vice President
Commonwealth Edison

Jessica Tuchman Mathews
Vice President
World Resources Institute

Arthur Porter

David Rose
Professor of Nuclear Physics
Massachusetts Institute of Technology

Lee Schipper
Staff Scientist
Lawrence Berkeley Labs

James Sweeney
Director
Energy Modeling Forum
Stanford University

Eric Van Loon
Executive Director
Union of Concerned Scientists

Potential U.S. Natural Gas Availability Advisory Panel

William Vogely, Chairman
Department of Mineral Economics
Pennsylvania State University

Marc Cooper
Research Consultant
Consumer Energy Council of America

Lloyd Elkins
Petroleum Consultant

Ed Erickson
Professor of Economics and Business
Department of Economics and Business
North Carolina State University

Daniel Grubb
Vice President, Gas Supply
Natural Gas Pipeline Co.

John Haun
Professor of Geology
Colorado School of Mines

Donald Kash
Director
Science and Public Policy Program
University of Oklahoma

Harry C. Kent
Director
Potential Gas Agency
Colorado School of Mines

Lawrence Moss
Independent Consultant

Roy E. Roadifer
Chief Geologist
Mobil Oil Corp.

Benjamin Schlesinger
Principal
Energy and Environment Division
Booz, Allen & Hamilton, Inc.

John C. Sharer
Assistant Director
Unconventional Natural Gas
Gas Research Institute

John Weyant
Deputy Director
Energy Modeling Forum
Stanford University

Ex. ***Officio:***

John Schanz
Senior Specialist in Energy Research Policy
Congressional Research Service
Library of Congress

Strategic Responses to an Extended Oil Disruption Advisory Panel

Rodney W. Nichols, *Chairman*
Executive Vice President
The Rockefeller University

Al Alm
Deputy Director
U.S. Environmental Protection Agency

Richard E. Archer
Assistant Professor
Design Program
Southern Illinois University

Jan Brinch
Independent Consultant
Energy Analysis and Planning
Mueller Associates

Nazli Choucri
Professor
Department of Political Science
Massachusetts Institute of Technology

Ernest L. Daman
Senior Vice President
Foster Wheeler Corp.

Michael Del Grande
Manager, Energy and Environment
American Telephone & Telegraph Co.

Bob Hemphill, Jr.
Associate Director
Applied Energy Services, Inc.

Brad Holloman
New York State Energy Research Development Authority

Robert L. Judd
Director
Governor's Office of Appropriate Technology
State of California

Terry Lash
Deputy Director
Department of Nuclear Safety
State of Illinois

Ray Maliszewski
Assistant Vice President
Bulk Transmission Planning
American Electric Power Service Corp.

Hal Miller, Jr.
Vice President for Planning and Rates
Transco Energy Co.

Roberta Nichols
Vice President
Ford Motor Co.

Christopher Palmer
Director, Energy and Environment
National Audubon Society

Richard A. Rettig
Professor
Department of Social Sciences
Illinois Institute of Technology

Walter S. Salant
Senior Economist (retired)
The Brookings Institution

Joanna Underwood
Executive Director
INFORM

Fred Wilson, P.E.
Assistant to the Senior Vice President
Texaco, Inc.

Herb H. Woodson
Director, Center for Energy Studies
University of Texas

International Security and Common. Program

International Competitiveness in Electronics Advisory Panel

Katherine D. Seelman, ***Chairperson***
Consultant
New York, N.Y.

Jack C. Acton
Executive Vice President
Kennemetal Inc.

Steve Beckman
Research Analyst
Industrial Union Department
AFL-CIO

A. Terry Brix
President
Temar Ltd.
Seattle, Wash.

Richard P. Case
Lab Director
IBM Corp.

Ruth Schwartz Cowan
Associate Professor of History
SUNY-Stony Brook

William Kay Dairies
Executive Vice President
American Retail Federation

Leonard Dietch
Vice President, Product Development
Zenith Radio Corp.

Isaiah Frank
William Clayton Professor of International Economics
The Johns Hopkins University

F. Willard Griffith, II
President and Chief Executive Officer
GC International

Robert R. Johnson
Senior Vice President
Engineering and Information Systems
Energy Conversion Devices, Inc.

Richard A. Kraft
President
Matsushita Industrial Co.

E. Floyd Kvamme
Vice President and General Manager
National Advanced Systems

Geraldine McArdle
McArdle Associates
Reston, Va.

Charles Phipps
Vice President
Corporate Development
Texas Instruments, Inc.

K. M. Poole
Head, Integrated Circuit Planning Department
Bell Telephone Laboratories

Benjamin M. Rosen
Partner
Sevin Rosen Management Co.

Kate Wilhelm
Author

Robert B. Wood
Director of Research
International Brotherhood of Electrical Workers

Michael Y. Yoshino
Professor of Business Administration
Harvard Business School

Command, Control, Communications, and Intelligence Systems (C^3I) Advisory Panel

John S. Toll, ***Chairman***
President
University of Maryland

Lew Allen, Jr.
General, USAF (Retired)
Director
Jet Propulsion Laboratory

Al Babbitt
Vice President and General Manager
Command Systems
IBM Corp.

Neil Birch
President
Birch Associates, Inc.

Gerald Dinneen
Vice President
Science and Technology
Honeywell

Robert R. Everett
President
The Mitre Corp.

Edward Goldstein
Assistant Vice President
Financial Management
AT&T Co.

Arnold Horelick
The Rand Corp.

William Kaufman
Professor of Political Science
Massachusetts Institute of Technology

Glenn Kent
Lt. General, USAF (Retired)
The Rand Corp.

Isaac C. Kidd, Jr.
Admiral, USN (Retired)
Falls Church, Va.

Kostas J. Liopiros
Consultant
Annandale, Va.

William Perry
Managing Partner
Hambrecht & Quist

Jack Ruina
Professor of Electrical Engineering
Massachusetts Institute of Technology

Brent Scrowcroft
Lt. General, USAF (Retired)
Bethesda, Md.

Walter Slocombe, Esq.
Kaplan & Drysdale

Leon Sloss
President
Leon Sloss Associates

John D. Steinbruner
Director
Foreign Policy Studies Program
The Brookings Institution

John Stenbit
Vice President
Requirements & Group Development
TRW Defense Systems Group

Jerome B. Wiesner
President Emeritus
Massachusetts Institute of Technology

International Cooperation and Competition in Space Advisory Panel

Paul Doty, ***Chairman***
Center for Science and
International Affairs
Harvard University

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President
National Space Institute

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Vice President
IBM Corp.

Robert A. Frosch
Vice President, Research
General Motors Research Laboratories

Eilene Galloway
Consultant
Washington, D.C.

Ivan Getting
Consultant
Los Angeles, Calif.

Mireille Gerard
Administrator, Corporate and
Public Programs
American Institute of Aeronautics and
Astronautics

Benjamin Huberman
Vice President
Consultants International Group Inc.

Walter McDougall
Associate Professor of History
Woodrow Wilson Space and
Science Division
National Air and Space Museum
Smithsonian Institution

John Mayo
Vice President
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President
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Martin Menter, Esq.
Brigadier General (Retired)

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Director, Future Systems
Martin Marietta Aerospace

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University of Michigan

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Freedom House

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Laurel Wilkening
Director
Lunar and Planetary Laboratory
University of Arizona

Elizabeth Young
President
Public Service Satellite Consortium

Workshop: International Trading Regime for Space-Related Equipment and Services

Edwin Barber
International Affairs Officer
Office of Trade Finance
U.S. Department of the Treasury

Willard Demory
Assistant Bureau Chief
International Common Carrier Bureau
U.S. Federal Communications Commission

Ava Feiner
Director of Trade Policy
International Division
Chamber of Commerce of the USA

Sanford Rederer
Consultant
Kurth & Co.

Richard Self
Deputy Assistant
U.S. Trade Representative for Services

John Suomela
Director, Office of Economics
U.S. International Trade Commission

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President
Polytechnic Institute of New York

Fouad Ajami
Professor
School of Advanced International Studies
The Johns Hopkins University

J. S. Dana
Consultant and Former President
South Hampton Refining Co.

Farouk El-Baz
Vice President
International Development
ITEK Optical Systems

Ragaei El-Mallakh
Professor
International Research Center for Energy and Development
University of Colorado

James A. Finneran
Vice President
Worldwide Process Operations
M. W. Kellogg Co.

Eric Glasscott
Director of Marketing
Continental Page Consultants

Carl N. Hodges*
Director
Environmental Research Laboratory
University of Arizona

Gary Hufbauer
Consultant
Institute for International Economics

J. C. Hurewitz
Professor
Director of Middle East Institute
Columbia University

Charles Issawi
Professor
Near East Studies
Princeton University

T. R. McLinden
Manager, Special Projects
Transworld Airlines

Joseph Nye
Professor
Kennedy School of Government
Harvard University

Anthony Pascal
Consultant
The Rand Corp.

William H. Pickering
President
Pickering Associates Corp.

William B. Quandt
Senior Feller
The Brookings Institution

Joseph J. Sisco
Consultant
Sisco Associates

Joseph S. Szyliowicz
Professor
Graduate School of International Studies
University of Denver

Ted Taylor
Consultant
Appropriate Solar Technology Institute

William L. Weirich
Medical Advisor
Hospital Corp. of America

Sam Wells
Director
International Security Studies Program
The Wilson Center
Smithsonian Institution

* Ex-officio member from the OTA Technology Assessment Advisory Council.

industry, Technology, and Employment Program

Technologies and Management Strategies for Hazardous Waste Control Advisory Panel

Sam Gusman, Chairman
Senior Associate
Conservation Foundation

David Boltz
Director, Solid Waste Control
Environmental Control Division
Bethlehem Steel Corp.

Frank Collins*
Physical Chemist and Consultant
Oil, Chemical & Atomic Workers International Union

Stacy Daniels
Research Specialist
Environmental Sciences Research Laboratory
Dow Chemical, U.S.A.

Jeffrey Diver
Senior Environmental Counsel
Waste Management, Inc.

Philippa Foot
Professor
Department of Philosophy
University of California, Los Angeles

Thomas H. Goodgame
Director of Corporate Environmental Control
Research and Engineering Center
Whirlpool Corp.

Diane Graves
Conservation Chairman
N.J. Chapter of the Sierra Club

Rolf Hartung
Professor of Toxicology
School of Public Health
University of Michigan

Robert L. Judd
Director
Office of Appropriate Technology
State of California

Kenneth S. Kamlet
Director, Pollution and Toxic Substances Division
National Wildlife Federation

Terry Lash
Deputy Director
Illinois Department of Nuclear Safety

David Lennett
Attorney
Environmental Defense Fund

Joe J. Mayhew
Manager of Solid Waste Programs
Chemical Manufacturers Association

Randy Mott
Attorney
Hazardous Waste Treatment Council

John M. Mulvey
Director of Engineering Management Systems
Princeton University
School of Engineering/Applied Science

Delbert Rector
Chief, Environmental Services Division
Michigan Department of Natural Resources

Gerard Addison Rohlich
LBJ School of Public Affairs
University of Texas at Austin

Reva Rubenstein
Manager of the Institute of Chemical Waste Management
National Solid Wastes Management Association

Bernard Simonsen
Vice President
IT Corp.

George M. Woodwell
Director of the Ecosystems Center
Marine Biological Laboratory
Woods Hole, Mass.

Wood Use: U.S. Competitiveness and Technology Advisory Panel

Larry Tombaugh, *Chairman*
Dean
Department of Forestry
Michigan State University

Darius Adams
Department of Forest Management
Oregon State University

Clark Binkley
Assistant Professor
School of Forestry and Environmental Studies
Yale University

Carroll Brock
Vice President
M. J. Brock & Sons
Sacramento, Calif.

M. Rupert Cutler
Senior Vice President
The Audubon Society

* Resigned Sept. 30, 1982

Judge Ormond S. Danford
Private Forest Land Owner

Robert D. Day
Executive Director
Renewable Natural Resources Foundation

Brock Evans
Vice President for National Issues
The Audubon Society

Kirk Ewart
Director
Governmental and Environmental Affairs Department
Boise Cascade Corp.

R. Rodney Foil
Director
Mississippi Agricultural and Forestry Experiment Station

Carter Kiethley
Executive Director
Wood Heating Alliance

Peter Kirby, Esq.
Counsel
The Wilderness Society

Dudley Kircher
Vice President, Corporate Communications
Mead Corp.

Bruce Lippke
Manager of Marketing and Economic Research
Weyerhaeuser Corp.

Norma Pace
Senior Vice President
American Paper Institute

Carl Reidel
Director
The Environmental Program
University of Vermont

John Ward
Director, International Trade
National Forest Products Association

Henry Webster
Director
Forest Management Division
Michigan Department of Natural Resources

John Zivnuska
Department of Forestry and Resource Management
University of California

Technologies to Reduce U.S. Materials Import Vulnerability Advisory Panel

Arden Bement
Vice President, Technical Resources
TRW, Inc.

Edwin Clark
Senior Associate
Conservation Foundation

Tom Clough ,
Director of Technology
Atlantic Richfield Co.

Robert G. Dunn
Senior Vice President
AMAX Metals Group

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President
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Michael E. Fisher
Professor of Chemistry, Physics and Mathematics
Cornell University

Herbert H. Kellogg
Professor of Extractive Metallurgy
Columbia University

Hans Landsberg
Senior Fellow
Resources for the Future

Jessica Mathews
Vice President
World Resources Institute

William A. Owczarski
Manager, Technical Planning
Pratt & Whitney Aircraft Group

Walter S. Owen
Professor of Materials Science
Massachusetts Institute of Technology

R. Byron Pipes
Director, Center for Composite Materials
University of Delaware

R. K. Pitler
Senior Vice President and Technical Director
Allegheny-Ludlum Research Center

W. Readey
Head, Department of Ceramic Engineering
The Ohio State University

James K. Sebenius
Assistant Professor
John F. Kennedy School of Government
Harvard University

Albert Sobey
Director, Energy Economics
General Motors Corp.

Alex Zucker
Associate Director
Oak Ridge National Laboratory

Cleanup of Uncontrolled Hazardous Waste Sites Under Superfund Advisory Panel

Martin Alexander
Department of Agronomy
Cornell University

K. W. Brown
Professor of Soil and Crop Sciences
Texas A&M University

Morton Corn
Professor and Division Director
Department of Environmental Health Sciences
School of Hygiene and Public Health
The Johns Hopkins University

Bonnie L. Exner
Consultant
Governor's Lowry Landfill Monitoring Committee
State of Colorado

Ted Greenwood
Research Associate
Center for International Studies
Massachusetts Institute of Technology

Linda E. Greer
Science Associate
Environmental Defense Fund

Robert G. Kissell
Senior Consultant in Engineering Service Division
E. I. du Pent de Nemours & Co., Inc.

Gary E. Kovall
Manager, Environmental Legislative and Regulatory Affairs
ARCO Petroleum Products Co.

Stephen U. Lester
Consultant
Citizens Clearinghouse for Hazardous Waste
Arlington, Va.

Adeline G. Levine
Professor of Sociology
State University of New York at Albany

Randy M. Mott, Esq.
Breed, Abbott & Morgan

Norman H. Nosenchuck
Director
Division of Solid and Hazardous Waste
New York State Department of Environmental Conservation

James T. O'Rourke
Senior Vice President, Industrial Group
Camp Dresser & McKee, Inc.

James W. Patterson
Professor and Chairman
Pritzker Department of Environmental Engineering
Illinois Institute of Technology

Robert Repetto
Senior Associate
World Resources Institute

Bernard L. Simonsen
Vice President, Administration and Corporate Planning
IT Corp.

William A. Wallace
Director
Solid and Hazardous Waste Management
CH2M HILL

HEALTH AND LIFE SCIENCES DIVISION

Biological Applications Program

Genetic Testing in the Prevention of Occupational Disease Advisory Panel

Arthur D. Bloom, *Chairman*
Professor of Pediatrics
College of Physicians and Surgeons
Columbia University

J. Grant Brewen
Director
Molecular and Applied Genetics Lab
Allied Chemical Corp.

Eula Bingham
Dean, Graduate School
Department of Environmental Health
University of Cincinnati

Patricia Buffler
Associate Dean
University of Texas School of Public Health

Ira H. Cisin
Director, Social Research Group
The George Washington University

Burford W. Culpepper
Medical Director
E. I. du Pont de Nemours & Co., Inc.

James D. English
Associate General Counsel
United Steelworkers of America

Neil Holtzman
Associate Professor
Johns Hopkins Hospital

Paul Kotin
Johns Manville Corp. (retired)

Thomas O. McGarity
Professor, School of Law
University of Texas at Austin

Rafael Moure
Industrial Hygienist
Health and Safety Department
Oil, Chemical, and Atomic Workers Union

Robert F. Murray, Jr.
Chief, Division of Medical Genetics
College of Medicine
Howard University

Elena Nightingale
Scholar and Resident
Institute of Medicine
National Academy of Sciences

Gilbert Omenn
Dean, School of Public Health
University of Washington

William N. Rom
Associate Professor
Rocky Mountain Center for Occupational and Environmental Health
University of Utah Medical Center

Stuart Schweitzer
Director
Program in Health Planning and Policy Analysis
School of Public Health
University of California

Robert Veatch
Professor of Medical Ethics
The Kennedy Institute of Ethics
Georgetown University

Commercial Biotechnology: An International Analysis Advisory Panel

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President
Bennington College

Howard Bremer
Patent Counsel
Wisconsin Alumni Research Federation

Robert Fildes
President
Cetus Corp.

Julian Gresser
Professor, Program in Science, Technology, and Society
Massachusetts Institute of Technology

Ralph Hardy
Director, Life Sciences
E. I. du Pont de Nemours & Co., Inc.

Zsolt Harsanyi
Vice President, Biotech Group
E. F. Hutton

Peter Hutt, Esq.
Covington & Burling

David Jackson
Scientific Director
Genex Corp.

William Maxon
Group Manager, Fermentation Products Production
Upjohn Co.

Laura Meagher
Acting Administrator
North Carolina Biotechnology Center

Robert R. Miller
Director, International Business Courses
University of Houston

Dorothy Nelkin
Professor, Program on Science, Technology and Society
Cornell University

Norman Oblon
Oblon, Fisher, Spivak, McClelland & Maier

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Chairman of the Board
Agrigenetics

David Parkinson
Director of Occupational Medicine Program
Falk Clinic
University of Pittsburgh

Phillip A. Sharp
Professor of Biology
Center for Cancer Research
Massachusetts Institute of Technology

William J. Whelan
Chairman, Biochemistry
School of Medicine
University of Miami

John Zysman
Director, Roundtable for International Economics
University of California, Berkeley

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Director, Policy Center on Aging
Brandeis University

Ray Bartus
Group Leader of Geriatrics
Medical Research Division
Lederle Laboratories

Robert Berliner
Dean
School of Medicine
Yale University

Robert Butler
Chairman, Department of Geriatrics and Adult Education
Mt. Sinai Medical Center

Robert Clark
Associate Professor
Department of Economics and Business
North Carolina State University

Lee Davenport
Senior Vice President and Chief Scientist
GTE Corp.

Ken Dychtwald
President
Dychtwald & Associates

Caleb Finch
Professor of Biological Sciences and Gerontology
University of Southern California

Velma Murphy Hill
Director
Civil and Human Rights Division
Service Employees International Union

Robert L. Kane
Senior Researcher
The Rand Corp.

Paul A. Kerschner
Associate Director for Programs, Legislation and Development
National Retired Teachers Association
American Association of Retired Persons

Maggie Kuhn
Founder and National Convener
Gray Panthers

Matt Lind
Vice President
Corporate Planning and Research
The Travelers Insurance Co.

Robert G. Lynch
Vice President, Marketing Planning
GTE Corp.

Mathy D. Mezey
Director
Teaching Nursing Home Program
University of Pennsylvania

Hamish Munro
Professor of Medicine and Nutrition
Tufts University

Bernice Neugarten
Professor of Education and Sociology
Northwestern University

Sara Rix
Director of Research
The Women's Research and Education Institute

Pauline Robinson
Research Professor of Gerontology
University of Southern California

John Rowe
Chief of Geriatrics
Beth Israel Hospital

Bert Seidman
Department of Occupational Safety, Health and Social Security
AFL-CIO

Jacob Siegel
Senior Researcher
Center for Population Research
Georgetown University

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Barbara Mishkin, ***Chair***
Hogan & Hartson

W. Kent Anger
Chief, Neurobehavioral Research Section
Applied Psychology and Ergonomics Branch
National Institute for Occupational Safety and Health

Raymond Bartus
Group Leader
Department of Central Nervous System Research
Medical Research Division
Lederle Laboratories
American Cyanamid Co.

David L. Bazelon
Senior Circuit Judge
U.S. Court of Appeals
District of Columbia Circuit

Floyd E. Bloom
Director, Davis Center for Behavioral Neurobiology
Salk Institute

Greg R. Christoph
Principal Scientist
Neurobiology Group
Central Research and Development Department
E. I. du Pont de Nemours & Co., Inc.

David Cohen
Leading Professor and Chairman
Department of Neurobiology and Behavior
State University of New York, Stony Brook

Joe Dan Coulter
Associate Professor
Marine Biomedical Institute
University of Texas Medical Branch

Miriam Davis
Science Policy Research Division
Congressional Research Service
Library of Congress

John Dowling
Professor, Department of Biology
Harvard University

John Hildebrand
Professor
Department of Biological Sciences
Columbia University

Jack Houck
President, Endorphin, Inc., and
Virginia Mason Research Center
Seattle, Wash.

Zaven S. Khachaturian
Chief, Physiology of Aging Branch
Biomedical Research and Clinical Medicine Division
National Institute on Aging

Dennis Landis
Assistant Professor
Department of Neurology
Massachusetts General Hospital

Candace Pert
Chief, Section on Brain Biochemistry
Neuroscience Branch
National Institute of Mental Health

Dominick P. Purpura
Dean, School of Medicine
Stanford University

Michael Riddiough
Consultant
Syntex Corp.

Joshua Sanes
Assistant Professor of Physiology
Department of Physiology and Biophysics
Washington University Medical Center

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Associate for the Humanities
Hastings Center

Perrie M. Adams
Professor of Psychiatry
Department of Psychiatry and Behavioral Research
University of Texas

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Vice President and Scientific Director
Charles River Breeding Laboratories, Inc.

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Vice President, Medical Affairs
Revlon Research Center

David J. Brusick
Molecular Sciences Directorate
Litton Bionetics

G. Gilbert Cloyd
Human and Environmental Safety Division
Miami Valley Laboratories

W. Jean Dodds
Division of Laboratories and Research
New York State Department of Health

Kurt Enslein
President
Health Designs, Inc.

Alan M. Goldberg
Director, The Johns Hopkins Center for Alternatives to Animal Testing

Richard M. Hoar
Associate Director
Division of Toxicology and Pathology
Hoffmann-La Roche, Inc.

Peter Hutt, Esq.
Covington & Burling

Connie Kagan
Animal Political Action Committee

Ronald Lament-Havers
Director, Research Administration
Massachusetts General Hospital

John McArdle
Associate Director
Institute for Study of Animal Problems
Humane Society of the United States

Robert A. Neal
President
Chemical Industry Institute of Toxicology

J. Wesley Robb
Professor of Religion
School of Religion
Professor of Bioethics
School of Medicine
University of Southern California

Andrew N. Rowan
Assistant Dean for New Programs
School of Veterinary Medicine
Tufts University

Jeri Sechzer
Associate Professor
Department of Psychiatry
The New York Hospital—Cornell Medical Center

Henry Spira
Director
Coalitions to Abolish the LD50 and Draize Tests

Food and Renewable Resources Program

Water-Related Technologies for Sustainable Agriculture in U.S. Arid/Semiarid Lands Advisory Panel

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Agriculture and University Services
University of California, Berkeley

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President
Adams & Associates

Wilbert H. Blackburn
Professor
Department of Range Science
Texas A&M University

William T. Dishman
Rancher
Idaho

Harold E. Dregne
Professor
Department of Plant and Soil Science
Texas Tech University

Chester E. Evans
USDA Research Director (retired)
Colorado

Larry J. Gordon
Director
Albuquerque Environmental Health Department

Robert M. Hagan
Professor
Department of Land, Air, and Water Resources
University of California, Davis

David E. Herrick
U.S. Forest Service (retired)
Western Agricultural Research Committee
Colorado

Helen Ingram
Professor
Department of Government
University of Arizona, Tucson

Cyrus McKell
Director of Research
Plant Resources Institute
Utah

Michael F. McNulty
Director
Tucson Active Management Area
Arizona Department of Water Resources

Milton E. Mekelburg
President
National Association of Soil Conservation Districts
Colorado

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President
Desert Research Institute
Nevada

Alice Parker
Farmer/Rancher
Washington

Cynthia Reed
Rancher
South Dakota

Luis Torres
Program Director
American Friends Service Committee
Northern New Mexico

Casey E. Westell, Jr.
Director of Industrial Ecology
Tenneco, Inc.
Texas

Norman K. Whittlesey
Professor
Department of Agricultural Economics
Washington State University, Pullman

Technologies To Sustain Tropical Forest Resources Advisory Panel

Leonard Berry, ***Chairman***
Research Professor
Center for Technology, Environment, and Development
Clark University

Eddie Albert
Conservationist

Hugh Bollinger
Director
Plant Resources Institute

Robert Cassagnol
Member, Technical Committee
CONAELE

Robert Cramer
Former President
Virgin Islands Corp.

Gary Eilerts
Operations Representative
Appropriate Technology International

John Ewel
Associate Professor
Department of Botany
University of Florida, Gainesville

Robert Hart
Agronomist
Winrock International

Susanna Hecht
Assistant Professor
Department of Geography
University of California, Los Angeles

Marilyn Hoskins
Lecturer
Department of Sociology
Virginia Polytechnic Institute

Norman Johnson
Vice President, North Carolina Region
Weyerhaeuser Co.

Jan Laarman
Assistant Professor
Department of Forestry
North Carolina State University

Chuck Lankester
Forester
U.N. Development Programme

Robert Owen
Chief Conservationist (retired)
Trust Territory of the Pacific Islands

Christine Padoch
Assistant Professor
Institute of Environmental Studies
University of Wisconsin, Madison

Allen Putney
Principal Investigator
Eastern Caribbean Natural Area Management Program
West Indies Lab

Jeff Romm
Assistant Professor
Department of Forestry
University of California, Berkeley

John Terborgh
Professor
Department of Biology
Princeton University

Henry Tschinkel
Forestry Advisor
Regional Office for Central American Programs
Agency for International Development
U.S. Department of State

Plants: The Potentials for Extracting Protein, Medicines, and Other Useful Chemicals Workshop

Robert P. Adams
Manager, Phytochemical Products
Native Plants, Inc.

John Becker
General Manager
Leaf Protein International, Inc.

Frederick H. Buttel
Department of Rural Sociology
Cornell University

James Duke
Chief, Economic Botany Lab
U.S. Department of Agriculture

Norman R. Farnsworth
Director, Programme for Collaborative Research in the Pharmaceutical Sciences
College of Pharmacy
University of Illinois

William Fewical
Professor of Oceanography
Institute of Marine Resources
Scripps Institution of Oceanography
University of California, San Diego

Cornelia B. Flora
Professor
Department of Sociology, Anthropology, and Social Work
Kansas State University

Richard Harwood
Director of Research
Rodale Research Center

Martin Jacobson
Laboratory Chief
Biologically Active Natural Products Laboratory
U.S. Department of Agriculture

Prachuab Kwanyuen
Leaf Protein International, Inc.

Aklilu Lemma
Senior Scientific Affairs Officer
United Nations Center for Science and Technology for Development

Frederick L. Mann
Assistant Director
International Programs in Agriculture
University of Missouri, Columbia

Ara der Marderosian
Professor of Pharmacognosy
Philadelphia College of Pharmacy and Science

Gordon H. Svoboda
Visiting Research Professor in Pharmacognosy
School of Pharmacy
University of Pittsburgh

Howard Tankersley
Director, Land Use Division
Soil Conservation Service
U.S. Department of Agriculture

Lehel Telek
Chemist
Mayaguez Institute of Tropical Agriculture
U.S. Department of Agriculture

E. Richard Wheaton
Manager, Natural Rubber Program
U.S. Department of Agriculture

Samuel Wildman
Leaf Protein International, Inc. (retired)

Technology, Public Policy, and the Changing Structure of American Agriculture Advisory Panel

Frank Baker
Director
International Stockmen's School
Winrock International Livestock Research and Training Center

James Bonnen
Professor
Department of Agricultural Economics
Michigan State University

William Brown
Chairman of the Board
Pioneer Hi-Bred International, Inc.

Frederick Buttel
Associate Professor
Department of Rural Sociology
Cornell University

Willard Cochrane
Consultant

Jack Doyle
Director
Agricultural Resources Project
Environmental Policy Center

Marsha Dudden
Dudden Farms, Inc.
Reinbeck, Iowa

Water Ehrhardt
Blue Ridge Farms
Knoxville, Md.

Dean Gillette
Executive Director
Bell Telephone Laboratory Systems Research

Rogert Granados
Executive Director
La Cooperative

Richard Harwood
Director of Research
Rodale Research Center

Ralph Hofstad
President
Land-O' Lakes Cooperative

Charles Kidd
Dean, College of Engineering Science, Technology, and Agriculture
Florida A&M University

Robert Lanphier 111
Chairman of the Board
Dickey-John Corp.

Edward Legates
Dean, College of the Agriculture and Life Sciences
North Carolina State University

John Marvel
President and General Manager
Research Division
Monsanto Agriculture Products Co.

Donella Meadows
Adjunct Professor
Resources Policy Center
Dartmouth College

Don Paarlberg
Consultant

Don Reeves
Consultant
Interreligious Taskforce on U.S. Food Policy

Milo Schanzenbach
Schanzenbach Farms

Workshop: Technology, Public Policy, and the Changing Structure of American Agriculture

Peter Barry
Professor
Department of Agricultural Economics
University of Illinois, Urbana

Hoy Carman
Professor
Department of Agricultural Economics
University of California, Davis

William Cochrane
Consultant

B. R. Eddleman
Director, National Agricultural Research Planning and Analysis
State Agricultural Experiment Stations
Mississippi

Robert Emerson
Associate Professor
Food and Resource Economics Department
University of Florida, Gainesville

Ronald Knutson
Professor
Department of Agricultural Economics
Texas A&M University

Dean MacCannell
Associate Professor
Department of Applied and Behavioral Sciences
University of California, Davis

Philip Raup
Professor
Department of Agriculture and Applied Economics
University of Minnesota

Barry Richmond
Assistant Professor of Engineering
Resource Policy Center
Thayer School of Engineering
Dartmouth College

Vernon Sorenson
Professor
Department of Agricultural Economics
Michigan State University

Lauren Seth
Consultant

Tom Sporleder
Professor
Department of Agricultural Economics
Texas A&M University

Fred White
Professor
Department of Agricultural Economics
University of Georgia, Athens

Workshop: Technology, Natural Resources, and American Crafts

Jan Halkett
Agricultural Marketing Specialist
Agricultural Cooperative Service
U.S. Department of Agriculture

Robert Hart
Executive Director
Indian Arts and Crafts Board
U.S. Department of the Interior

Mary Hufford
Folklife Specialist
American Folklife Center
Library of Congress

Linda McMahan
Director, TRAFFIC-U.S.
World Wildlife Fund

Robert Teske
Arts Specialist
Folkarts Program
National Endowment for the Arts

John Thomas
Special Agent Training Officer
Division of Law Enforcement
U.S. Fish and Wildlife Service

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President
Michael Reese Hospital and Medical Center

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Dean, Florence Heller School
Brandeis University

Kurt Deuschle
Professor and Chairman
Department of Community Medicine
Mount Sinai School of Medicine
New York

Carroll L. Estes
Chair
Department of Social and Behavioral Sciences
School of Nursing
University of California, San Francisco

Rashi Fein
Professor
Department of Social Medicine and Health Policy
Harvard Medical School

Melvin A. Glasser
Director
Health Security Action Council
Committee for National Health Insurance

Patricia King
Professor
Georgetown Law Center

Joyce C. Lashof
Dean, School of Public Health
University of California, Berkeley

Margaret Mahoney
President
The Commonwealth Fund
New York

Frederick Mosteller
Professor and Chair
Department of Health Policy and Management
School of Public Health
Harvard University

Mitchell T. Rabkin
President
Beth Israel Hospital
Boston

Dorothy P. Rice
Regents Lecturer
Department of Social and Behavioral Sciences
School of Nursing
University of California, San Francisco

Richard K. Riegelman
Associate Professor
George Washington University School of Medicine

Walter L. Robb
Vice President and General Manager
Medical Systems Operations
General Electric
Washington, D.C.

Frederick C. Robbins
President
Institute of Medicine

Rosemary Stevens
Professor and Chair
Department of History and Sociology of Science
University of Pennsylvania

Kerr L. White
Deputy Director for Health Services
Rockefeller Foundation

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Brandeis University

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Vice President
Washington State Hospital Association

Robert Blendon
Senior Vice President
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Jerry Cromwell
President
Health Economics Research

Karen Davis
Professor and Chair
Department of Health Policy and Management
School of Hygiene and Public Health
The Johns Hopkins University

Robert Derzon
Vice President
Lewin & Associates

Howard Frazier
Director
Center for the Analysis of Health Practice
School of Public Health
Harvard University

Cliff Gaus
Director
Center for Health Policy Studies
Georgetown University

Jack Hadley
Senior Research Associate
Urban Institute

Kate Ireland
Chair, Board of Governors
Frontier Nursing Service

Judith Lave
Professor
Graduate School of Public Health
University of Pittsburgh

Mary Marshall
Member
Virginia House of Delegates

Walter McNerney
Professor
Kellogg Graduate School of Management
Northwestern University

Morton Miller
President
National Health Council
New York

James Mongan
Executive Director
Truman Medical Center

Seymour Perry
Senior Fellow and Deputy Director
Institute for Health Policy Analysis
Georgetown University Medical Center

Robert Sigmond
Community Programs for Affordable Health
Blue Cross/Blue Shield of Philadelphia

Anne Somers
Professor
Department of Environment and Community and Family Medicine
Rutgers University

Paul Torrens
Professor
School of Public Health
University of California, Los Angeles

Keith Weikel
Group Vice President
American Medical International

Federal Policies and the Medical Devices Industry

Richard R. Nelson, Chair
Director and Professor
Institute for Social and Political Studies
Yale University

William F. Ballhaus
President
Beckman Instruments, Inc.
SmithKline Beckman Corp.

Ruth Farrisey
Associate Director
Department of Nursing
Massachusetts General Hospital

Peter Barton Hutt, Esq.
Partner
Covington & Burling

Alan R. Kahn
Consultant
Applied Electronic Consultants, Inc.

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Dean, School of Public Health
University of California, Berkeley

Penn Lupovich
Director of Laboratories
Group Health Association

Victor McCoy
National Service Director
Paralyzed Veterans of America

Robert M. Moliter
Manager
Government and Industry Affairs
Medical Systems Division
General Electric

Louise B. Russell
Senior Fellow
The Brookings Institution

Earl J. Saltzgiver
President
Foremost Contact Lens Service, Inc.
Salt Lake City, Utah

Charles Sanders
Vice President
E. R. Squibb & Sons

Rosemary Stevens
Professor and Chair
Department of History and Sociology of Science
University of Pennsylvania

Allan R. Thieme
President
Amigo Sales Inc.
Albuquerque, N. Mex.

Eric von Hippel
Associate Professor of Management
Sloan School
Massachusetts Institute of Technology

Edwin C. Whitehead
Chairman
Technicon Corp.

Workshop: Medical Device Regulation

Robert Britain
Associate Director for Device Evaluation
Office of Medical Devices
Food and Drug Administration

Allen Greenberg
Staff Attorney
Public Citizen's Health Research Group

Linda Horton
Deputy Chief Counsel for Regulation and Hearings
Office of General Counsel
Food and Drug Administration

Peter Barton Hutt, Esq.
Partner
Covington & Burling

Robert Leflar
Assistant Professor of Law and Medicine
School of Law
University of Arkansas

David Meade
Assistant Counsel
Office of Legislative Counsel of the House of Representatives
U.S. Congress

Richard Merrill
Dean, School of Law
University of Virginia

Rodney Muncie
Partner
Dickstein, Shapiro & Morin

Geoffrey Smith
Assistant General Counsel
Pharmaceutical Manufacturers Association

Health and Safety Control Technologies in the Workplace Advisory Panel

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School of Hygiene and Public Health
The Johns Hopkins University

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Ford Motor Co.

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Mark R. Cullen
Professor of Statistics
Occupational Medicine Program
School of Medicine
Yale University

Philip E. Enterline
Professor of Biostatistics
School of Public Health
University of Pittsburgh

Melvin W. First
Professor
Department of Environmental Health Sciences
School of Public Health
Harvard University

Matt Gillen
Industrial Hygienist
Amalgamated Clothing and Textile Workers Union

Melvin Glasser
Consultant
Committee for National Health Insurance

William J. McCarville
Director, Environmental Affairs
Monsanto Co.

Wilbur L. Meier, Jr.
Dean, School of Engineering
Pennsylvania State University

John Mendeloff
Associate Professor
Program in Science, Technology, and Public Affairs
University of California

Samuel Milham, Jr.
Section Head, Epidemiology Section
Washington State Department of Social and Health Services

Kenneth B. Miller
Consultant
Occupational Medicine

Ted E. Potter
Environmental Manager
Shepherd Chemical Co.

Milan Racic
Director, Safety and Health
Allied Industrial Workers Union

Mark A. Rothstein
Associate Professor
West Virginia University College of Law

Marilyn Schule
Principal
Centaur Associates
Washington, D.C.

Michael O. Varner
Corporate Manager
Department of Environmental Sciences
American Smelding & Refining Co.

James L. Weeks
Industrial Hygienist
United Mineworkers of America

Roger H. Wingate
Executive Vice President (retired)
Liberty Mutual Insurance Co.
New Hampshire

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University of Iowa

Margit Bleecker
Assistant Professor
Division of Occupational Medicine
School of Hygiene and Public Health
The Johns Hopkins Medical Institutes

George L. Carlo
Epidemiologist
Epidemiology, Health and Environmental Sciences
Dow Chemical U.S.A.

Neal Castagnoli, Jr.
Professor
Department of Chemistry and Pharmaceutical Chemistry
University of California, San Francisco

Theodore Colton
Professor
School of Public Health
Boston University

Frederic Halbert
Delton, Mich.

George B. Hutchison
Professor
School of Public Health
Harvard University

Patricia King
Professor
Georgetown Law Center

Lewis Kuller
Professor
Department of Epidemiology
Graduate School of Public Health
University of Pittsburgh

Claire O. Leonard, M.D.
Salt Lake City, Utah

John F. Sommer, Jr.
Assistant Director
National Veterans Affairs and Rehabilitation Commission
The American Legion

Theodore Sypko
Veterans of Foreign Wars of the United States

John F. Terzano
National Membership Director
Vietnam Veterans of America

Monte C. Throdahl
Senior Vice President
Environmental Policy Staff
Monsanto Co.

H. Michael D. Utidjian
Corporate Medical Director
American Cyanamid Co.

Blood Policy and Technology

Louanne Kennedy, ***Chair***
Associate Professor
Department of Health Care Administration
Mt. Sinai School of Medicine

Alvin W. Drake
Professor of Systems Science and Electrical Engineering
Operations Research Center
Massachusetts Institute of Technology

Thomas C. Drees
President
Alpha Therapeutic Corp.

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Director
Paul I. Hoxworth Blood Center
University of Cincinnati Medical Center

Sylvia Drew Ivie
Director
National Health Law Program

Aaron Kellner
President
New York Blood Center

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President
Michael Reese Hospital and Medical Center

James W. Mosley
Acute Communicable Disease Control Section
Department of Health Services
Los Angeles County

Sharon Perkins
Coordinator, Donor Program
Fairfax Hospital, Va.

Michael B. Rodell
Vice President
Regulatory and Technical Affairs
Ethical Products Division
Revlon Health Care Group

Rosemary Stevens
Professor and Chair
Department of History and Sociology of Science
University of Pennsylvania

Scott N. Swisher
President's Council
American Red Cross
National Headquarters

Martin J. Valaske
Medical Director
Medical Faculty Associates
George Washington University Medical Center

William D. White
Associate Professor
Center for Policy Alternatives
Massachusetts Institute of Technology

Theodore Zimmerman
Professor
Department of Immunology
Department of Basic and Clinical Research
Scripps Clinic and Research Foundation

Wolf Zuelzer
Executive Director
National Hemophilia Foundation

Scientific Validity and Reliability of Polygraph Testing

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President
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Robert Edelberg
Professor of Psychiatry and Psychology
Rutgers Medical School

Frank Horvath
Associate Professor
School of Criminal Justice
Michigan State University

David T. Lykken
Professor of Psychiatry and Psychology
Department of Psychiatry
University of Minnesota Medical School

Martin T. Orne
Professor of Psychiatry
Director, Unit for Experimental Psychiatry
University of Pennsylvania Medical School

Gail J. Povar
Assistant Professor of Medicine and Health Care Sciences
The George Washington University Medical Center

Steve Pruitt
Director of Congressional Affairs
Public Employees Department
AFL-CIO

Christopher H. Pyle
Associate Professor of Politics
Mt. Holyoke College

David C. Raskin
Professor of psychology
University of Utah

Harold Sigall
Professor of Psychology
University of Maryland

George B. Trubow
The John Marshall Law School

Althea M. I. Wagman
Research Associate of Psychiatry
Neuroscience Program
University of Maryland School of Medicine

Paul M. Wortman
Associate Professor of Public Health
Program Director, Institute for Social Research
University of Michigan

Status of Biomedical Research and Related Technology for Tropical Diseases Advisory Panel

Pedro Acha
Director of Programming and Operations Coordination
Pan American Health Organization

George Alleyne
Chief
Research Promotion and Coordination
Pan American Health Organization

Karen Bell
Professional Associate
Board on Science and Technology for International Development
National Academy of Sciences

William Campbell
Senior Director
Basic Parasitology
Merck, Sharpe, & Dohme

Richard Cash
Director
Office of International Health
Harvard School of Public Health

Barnett Cline
Professor and Chairman
Department of Tropical Medicine
Tulane Medical Center

Joseph Cook
Program Director
Tropical Disease Research
The Edna McConnell Clark Foundation

Robert Goodland
Ecologist
Office of Environmental Affairs
World Bank

Abraham Horowitz
Director Emeritus and Special Consultant
Pan American Health Organization

Dieter Koch-Weser
Chairman
Department of Preventive and Social Medicine
Harvard Medical School

Francisco Lopez-Antunano
Coordinator
Tropical Disease Programme
Pan American Health Organization

Arnold Monto
Professor
Department of Epidemiology
School of Public Health
University of Michigan

Ruth Nussenzweig
Head
Division of Parasitology
New York University School of Medicine

Richard Riegelman
Associate Professor
Department of Health Care Sciences
The George Washington University Medical Center

Gabriel Schmunis
Medical Officer
Tropical Diseases Programme
Pan American Health-Organization

Thomas Simpson
Director
Eastern Shore Health District
Accomack County Health Department

Ronald Vogel
Associate Professor
Department of Management and Policy
College of Business and Public Administration
University of Arizona

Kenneth Warren
Director of Health Sciences
The Rockefeller Foundation

SCIENCE, INFORMATION, AND NATURAL RESOURCES DIVISION

Communcation and Informationn Technologies Program

Information Technology Research and Development Advisory Panel

Roger G. Nell, ***Chairman***
Division of Humanities and Social Science
California Institute of Technology

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Professor, Computer Science
Department of Computer Science
University of Illinois

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President
Megatest, Inc.

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President
California Public Utilities Commission

Nand Kishore Chitre
Director
Systems Planning Division
INTELSAT

Ralph E. Gomory
Vice President and Director of Research
Thomas J. Watson Research Center
IBM Corp.

John V. Barrington
Director
COMSAT Laboratories

William C. Hittinger
Executive Vice President
David Sarnoff Research Center
RCA Corp.

Bruce Lusignan
Director
Communication Satellite Planning Center
Stanford University

Donald McCoy
Vice President and General Manager
CBS Technology Center

Ithiel de Sola Pool
Professor of Political Science
Massachusetts Institute of Technology

Paul E. Ritt, Jr.
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GTE Laboratories, Inc.

Larry W. Sumney
Executive Director
Semiconductor Research Cooperative

Victor Vyssotsky
Executive Director
Research, Information Sciences
Bell Telephone Laboratories

Robert E. Wesslund
Vice President for Technology Exchange
Control Data Corp.

George R. White
Senior Research Fellow
The Harvard Business School

Workshop: Fiber Optics

Michael Barnoski
Consultant

Melvin Cohen
Director
Interconnection Technology Laboratory

Anthony J. DeMaria
Assistant Director of Research for Electrical and Electrooptics Technology
United Technology Research Center

Charles W. Deneka
Director, Optical Wave Guide Development
Corning Glass Works

Thomas Giallorenzi
Superintendent, Optical Sciences Division
Naval Research Laboratory

Paul Golunski
Manager, Economic Assessment Office
International Department
Direction Generale
Des Telecommunications (France)

Charles Kao
ITT Executive Scientist
ITT—Advanced Technology Center

Herwig Kogelnick
Director
Electronics Research Laboratory
Bell Laboratories

Henry Kressel
Senior Vice President
E. M. Warburg, Pincus & Co.

William Streifer
Senior Research Fellow
Palo Alto Research Center
Xerox Corp.

John Whinnery
Professor
Department of Electrical Engineering and Computer Science
University of California, Berkeley

Ammon Yariv
Professor of Electrical Engineering and Applied Physics
California Institute of Technology

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Consultant
Defense Advanced Research Projects Agency

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Donald M. Austin
Head, Applied Mathematical Programs
Office of Basic Energy Research
U.S. Department of Energy

James C. Browne
Professor
Department of Computer Sciences
University of Texas, Austin

Alan Charlesworth
Senior Staff Engineer
Floating Point Systems, Inc.

Steve Chen
Vice President of Development
Cray Research, Inc.

Peter J. Denning
Director
Research Institute for Advanced Computer Science

Sidney Fernbach
Consultant
Control Data Corp.

Dennis Gannon
Assistant Professor
Department of Computer Science
Purdue University

Robert G. Gillespie
Vice Provost for Computing
University of Washington

David Kuck
Professor
Department of Computer Sciences
University of Illinois at Urbana-Champaign

Neil Lincoln
Executive Consultant
Control Data Corp.

Roger G. Nell
Chairman, Division of Humanities and Social Sciences
California Institute of Technology

Fred Ris
Manager
Computation-Intensive Systems
T. J. Watson Research Center

Paul E. Ritt, Jr.
Vice President and Director of Research
GTE Laboratories, Inc.

Paul Schneck
Assistant Director for Computer and Information Sciences
Goddard Space Flight Center

Jacob Schwartz
Director, Division of Computer Sciences
Courant Institute of Mathematical Sciences
New York University

Burton J. Smith
Vice President
Research and Development Department
Denelcor, Inc.

Harold S. Stone
Professor
Computer Engineering Department
University of Massachusetts, Amherst

Jim Thornton
Chief Executive Officer
Network System Corp.

Kenneth G. Wilson
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Cornell University

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Law and Public Policy
University of Pennsylvania

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Partner
Jones, Day, Reavis & Pogue

Paul Baran
Vice President—Engineering
PacketCable

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Gaston-Snow & Ely Bartlett

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Kent Colton
Executive Vice-President for Policy, Planning and Economic Research
Federal Home Loan Mortgage Corp.

Richard J. Darwin
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Financial Industry Systems Group
Battelle Memorial Institute

Gerald Ely
Director of Technology
Divisional Vice President
Merrill Lynch Pierce Fenner & Smith, Inc.

John Farnsworth
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Chemical Bank

Paul Hefner
Vice President
First Interstate Bancorp

Edward J. Kane
The Everett D. Reese Professor of Banking in Monetary Economics
Department of Economics
Ohio State University

Jerome Svigals
Manager, Growth, Planning and Electronics Banking Consultants
IBM Corp.

Willis H. Ware
Senior Computer Specialist
The Rand Corp.

Steven Weinstein
Vice President—Corporate Strategy
American Express

Milton Wessel
Legal Counsel
Association of Data Processing Service Organizations

Frederick G. Withington
Vice President
Arthur D. Little, Inc.

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Stanley Bess
Systems Program Manager
J. C. Penney Co.

Ellen Broadman
Counsel for Government Affairs
Consumers Union

James L. Brown
Associate Professor of Law
Director of Center for Consumer Affairs
University of Wisconsin-Extension

Meredith M. Fernstrom
Senior Vice President–Public Responsibility
American Express Co.

Edward J. Kane
Everett D. Reese Professor of Banking in Monetary Economics
Department of Economics
The Ohio State University

Mark Leymaster
Staff Attorney
National Consumer Law Center

Barbara Quint
Money Management Editor
Family Circle

Thelma V. Rutherford
Public Citizen for Senior Citizen Consumers

Michael Van Buskirk
Community Development Officer
Bane One Corp.

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Former Director
Engineering Computing Systems
Boeing Commercial Airplane Co.

Erich Bloch
Vice President
Technical Personnel Development
IBM Corp.

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Manufacturing Technical Group Engineer
Lockheed-Georgia

Jack Cahall
Manager, Training and Development
Cincinnati Milacron, Inc.

Dennis Chamot
Assistant Director
Department for Professional Employees
AFL-CIO

Robert Cole
Director
Center for Japanese Studies
University of Michigan

Alan E. Drane
Manager of Automated Systems
Emhart Corp.

Audrey Freedman
Senior Research Associate
The Conference Board, Inc.

Sheldon Friedman
Director, Research Department
United Automobile, Aerospace and Agricultural Implement Workers of America, UAW

Theodore W. Kheel,
Battle, Fowler, Jaffin & Kheel

James F. Lardner
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Manufacturing Development
Deere & Co.

Eli Lustgarten
Vice President
Paine Webber Mitchell Hutchins, Inc.

M. Granger Morgan
Professor
Engineering and Public Policy
Carnegie-Mellon University

George J. Poulin
General Vice President
International Association of Machinists and Aerospace Workers

Bernard M. Sallot
Director, Professional and Governmental Activities
Society of Manufacturing Engineers
Executive Director
Robot Institute of America

Harley Shaiken
Research Fellow
Massachusetts Institute of Technology

Kevin G. Snell
Director
Forward Planning Program and Development
Career Works, Inc.

Alfred P. Taylor
Manager
Factory Automation Plant Services Operation
General Electric Co.

Philippe Villers
President
Automatix, Inc.

Victor C. Walling, Jr.
Coordinator
Business Futures Program
SRI International

Dennis Wisnosky
Vice President
Industrial Systems Group
GCA Corp.

Michael J. Wozny
Director
Interactive Computer Graphics Center
Rensselaer Polytechnic Institute

Robert Zager
Vice President, Policy Studies and Technical Assistance
Work in America Institute

Workshop: Producer Industries

Kenneth Anderson
Publisher
Anderson Reports

Laura Congiliaro
Vice President
Prudential-Bache Securities, Inc.

Joseph Franklin
Statistical Director
National Machine Tool Builders Association

Eli Lustgarten
Vice President
Paine Webber Mitchell Hutchins

Carl Machover
President
Machover Associates Corp.

James Meadlock
President
Intergraph Corp.

Bernard M. Sallot
Director of Professional and Governmental Activities
Society of Manufacturing Engineers

Philippe Villers
President
Automatix, Inc.

Dennis Wisnosky
Vice President
Industrial Systems Group
GCA Corp.

Workshop: Automation Technology

David Grossman
Manager of Automation Research
IBM Thomas J. Watson Research Center

Robert Hocken
Chief, Automated Production Technology Division
National Bureau of Standards

Stuart G. Miller
Manager
Automation and Control Laboratory
Corporate Research and Development
General Electric & Co.

Brian Moriarty
Charles Stark-Draper Laboratories

Richard Mueller
Senior Consultant for Advanced CAD-CAM Research
Control Data Corp.

Michael Radeke
Vice President, Robotics
Cincinnati Milacron

Bernard Roth
Design Division, Mechanical Engineering
Stanford University

Ali Seireg
Professor
Department of Mechanical Engineering
University of Wisconsin-Madison

Richard Simon
Director, Product Management
CAM Systems
Computervision Corp.

Theodore J. Williams
Director, Laboratory for Applied Industrial Control
Purdue University

Workshop: Information Policy

William O. Baker
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Anne W. Branscomb
Chairman, Science and Technology Section
Common Law Division
American Bar Association

David Burnham
Reporter on Information Policy Issues
The New York Times

Michael Pertschuk
Commissioner
Federal Trade Commission

John Shattuck
Director of the Washington Office
American Civil Liberties Union

Christopher Sterling
Director
Center for Telecommunication Studies
The George Washington University

George Trubow
Director, Center on Policy and Information Technology
John Marshall Law School

Willis Ware
Corporate Research Staff
Rand Corp.

Milton Wessel
General Counsel
Association of Data Processing Service Organizations

Alan Westin
Professor
Department of Public Law and Government
Columbia University

Mary Alice White
Professor of Psychology
Director, Electronic Learning Laboratory
Teachers College
Columbia University

Oceans and Environment Program

Managing High-Level Commercial Radioactive Waste Advisory Panel

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Department of Physics
University of Illinois

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Professor
Department of Zoology
University of Wisconsin

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Consultant
Livermore, Calif.

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Electric Power Research Institute

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Environmental Law Institute

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Professor of Geology
Scripps Institution of Oceanography
University of California

Harriet Keyserling
Member
House of Representatives
State of South Carolina

Terry Lash
Deputy Director
Department of Nuclear Safety
State of Illinois

Kai Lee
University of Washington
Institute for Environmental Studies

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President
Candorcom Corp.

Peter Montague
Center for Environmental Studies
Princeton University

Glenn Paulson
Vice President for Science
National Audubon Society

Howard Raiffa
Frank P. Ramsey Professor of Managerial Economics
Harvard Business School

William A. Thomas
Consultant
American Bar Foundation

Mason Willrich
Vice President—Corporate Planning
Pacific Gas & Electric Co.

Donald Wodrich
Rockwell International-Hanford Operations

John Yasinsky
General Manager
Advanced Power Systems Divisions
Westinghouse Electric Corp.

Acid Rain and Transported Air Pollutants Advisory Panel

Norton Nelson, ***Chairman***
Professor
Department of Environmental Medicine
New York University Medical Center

Thomas H. Brand
Director, Environmental Activities
Edison Electric Institute

Robert Wilbur Brocksen
Manager—Ecological Effects Program
Electric Power Research Institute

Jack George Calvert
Senior Scientist
National Center for Atmospheric Research

David Hawkins
Senior Attorney
National Resources Defense Council, Inc.

Edward A. Helme
Staff Director
Committee on Energy and Environment
National Governor's Association

Richard L. Kerch
Manager, Air Quality
Consolidation Coal

Anne LaBastille
Commissioner
Adirondack Park Agency

Gene E. Likens
Professor of Ecology
Section of Ecology and Systematic
Cornell University

Donald H. Pack
Consultant

Carl Shy
Professor of Epidemiology
School of Public Health
Professor of Epidemiology
University of North Carolina

Lester Thurow
Professor of Management and Economics
Sloan School of Management
Massachusetts Institute of Technology

George H. Tomlinson, II
Vice President
Domtar Inc.

Wetlands: Their Use and Regulation Advisory Panel

William H. Patrick, Jr., ***Chairman***
Director, Laboratory for Wetland Soils and Sediment
Louisiana State University

Hope M. Babcock
Director
Public Lands and Public Water
National Audubon Society

Earl H. Beistline
Fairbanks, Alaska
(Ex Officio Panel Member)

Charles E. Fraser
President
Sea Pines Co.
Hilton Head Island, N.C.

Donald E. Gilman
Alaska State Senator

Laurence R. Jahn
Vice President
Wildlife Management Institute

Joseph S. Larson
Chairman, Department of Forestry and Wildlife Management
University of Massachusetts

Stanley L. Lattin
Director of Planning and Economic Development
Port of Grays Harbor

Jay A. Leitch
Department of Agricultural Economics
North Dakota State University

Ralph Manna, Jr.
Division of Regulatory Affairs
Department of Environmental Conservation

William Manning
Manager
Safety and Environmental Affairs
Louisiana Land & Exploration Co.

Eric Metz
Wetland Program Manager
California Coastal Commission

Mark Rey
Director, Water Quality Programs
National Forest Products Association

Laurence Sirens
President
Maryland Waterman's Association

Hobart G. Truesdell, II
President, First Colony Farms

Daniel E. Willard
Professor of Public and Environmental Affairs
Indiana University

Assessment of Maritime Trade and Technology Advisory Panel

Leslie Kanuk, ***Chairman***
Professor of Marketing
Baruch College

Vera Alexander
Director, Division of Marine Science
University of Alaska

Richard F. Brunner
Senior Operating Officer
Avondale Shipyards, Inc.

Paul J. Burnsky
President
Metal Trades Department
AFL/CIO

H. Clayton Cook, Jr.
Partner
Cadwalader, Wickersham, & Taft

J. P. Elverdin
President
Navies Corp.

Peter J. Finnerty
Vice President for Public Affairs
Sea-Land Industries

Jack Goldstein
Vice President and Economist
Overseas Shipholding Group, Inc.

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Masters, Mates & Pilots of America

C. M. Lynch
President
ARCO Marine Inc.

David L. Pearson
Chief of Engineering
Electric Boat Division
General Dynamics Corp.

Eugene K. Pentimonti
Vice President, Engineering
American President Lines, Ltd.

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Paul F. Richardson Associates, Inc.

John P. Scally
Manager of Export Transportation
General Electric Co.

Lawrence A. Smith
President
Lockheed Shipbuilding Co.

Technologies to Measure, Monitor, and Mitigate Groundwater Contamination Advisory Panel

Thomas Maddock III, ***Chairman***
Department of Hydrology and Water Resources
University of Arizona

Harvey Banks
Belmont, Calif.

Robert Harris
Professor
Center for Energy and Environmental Studies
Princeton University

Allen V. Kneese
Senior Fellow
Resources for the Future

Jay H. Lehr
Executive Director
National Well Water Association

Perry McCarty
Chairman
Department of Civil Engineering
Stanford University

James Mercer
President
GeoTrans, Inc.

David W. Miller
President
Geraghty & Miller, Inc.

Michale A. Pierle
Director
Regulatory Management—Water
Monsanto Co.

Lawrence Swanson
Director
Great Plains Office of Policy Studies
University of Nebraska

James T. B. Tripp
Counsel
Environmental Defense Fund

Science, Transportation, and Innovation Program

Civil Space Stations Advisory Panel

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President
Cabot Corp.

Daniel J. Boorstin
Librarian of Congress

Harvey Brooks
Benjamin Peirce Professor of Technology and Public Policy
Harvard University

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President
Venrock, Inc.

Freeman Dyson
Professor
Institute for Advanced Study
Princeton, N.J.

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Hilton Head, S.C.

Andrew J. Goodpaster
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Institute of Defense Analyses

Charles Hitch
The Lawrence-Berkeley Laboratory
University of California, Berkeley

Bernard M. W. Knox
Director
Center for Hellenic Studies

Moya Lear
Chairman of the Board
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President and Chief Executive Officer
System Development Corp.

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Director of the Laboratories for Planetary Studies
Cornell University

Eugene Skolnikoff
Director
Center for International Studies
Massachusetts Institute of Technology

James Spilker
President
Stanford Telecommunications Inc.

Frank Stanton
President Emeritus
CBS Inc.

James A. Van Allen
Head
Physics and Astronomy Department
University of Iowa

Workshop #1: Lower Cost Alternatives to One or More Space Stations

Wilbur Eskite
Deputy Chief
Systems Planning and Development
National Environmental Satellite, Data, and Information Service
National Oceanic and Atmospheric Administration

Edmund J. Habib
Vice President for Engineering
Satellite Systems Engineering

Tadahico Inada
National Space Development Agency of Japan
Scientific Section
Embassy of Japan

Akihiko Iwahashi
Representative
Science and Technology Agency
Government of Japan

Norbert Kiehne
Deutsche Forschungs-und Versuchsanstalt fur Luft-und Raumfahrt e.V.
Federal Republic of Germany

Kazuo Matsumoto
National Space Development Agency of Japan
Scientific Section
Embassy of Japan

Wilfred Mellors
Head
European Space Agency
Washington Office

Robert Noblitt
Senior Systems Analyst
Teledyne Brown Engineering

Alain Perard
Long-Term Study Manager
CNES Paris

Udo Pollvogt
President
ERNO-USA, Inc.

Hans Traumann
Attache
Scientific and Technological Affairs
Embassy of the Federal Republic of Germany

H. J. Weigand
Consultant
Space Division
Messerschmitt-Bolkow-Blohm

Workshop #2: Lower Cost Alternatives to One or More Space Stations

Ivan Bekey
Director of Advanced Programs
National Aeronautics and Space Administration

Hubert Bortzmeyer
Special Assistant to the Director of Programming
Center National d'Etudes Spatiales

Joseph Carroll
Research Associate
Department of Chemistry
California Space Institute
University of California, San Diego

Jacques Collet
Head of Long-Term Preparatory Program
European Space Agency Headquarters

David Criswell
Consultant
Department of Chemistry
California Space institute
University of California, San Diego

Troy A. Crites
Member of the Technical Staff
Test Analysis Section
Systems Evaluation Department
The Aerospace Corp.

Hubert P. Davis
Vice President
Eagle Engineering, Inc.

Russell Drew
president
Science & Technology Consultants

Jean-Pierre Fouquet
Scientific Attache for Space Affairs
Embassy of France

George F. Fraser
Chief Engineer, Advanced Engineering
Shuttle Orbiter Division
Rockwell International

Al Hill
MESA Program Manager
Space Systems Division
Boeing Aerospace Corp.

Tadahico Inada
Representative
National Space Development Agency of Japan
Scientific Section
Embassy of Japan

William A. Johnston
Vice President for Engineering
Fairchild Space Co.

Charles Mathews
Consultant
Vienna, Va.

Wilfred Mellors
Head
European Space Agency
Washington Office

Udo Pollovogt
president
ERNO-USA, inc.

Wilbur L. Pritchard
president
Satellite Systems Engineering

Thomas C. Taylor
president
Taylor & Associates, inc.

Hans Traumann
Attache
Scientific and Technological Affairs
Embassy of the Federal Republic of Germany

H. J. Weigand
Consultant
Space Division
Messerschmitt-Bolkow-Blohm

Paul Wunsch
Manager, Instrument Requirement Branch
Teledyne Brown

Workshop: Skylab

Leland Belew
Consultant
Huntsville, Ala.

David Compton
Contractor
History Office
NASA/Johnson Space Center

John Disher
Consultant
Bethesda, Md.

Herbert Friedman
Chairman, Commission on Physical Sciences, Mathematics, and Resources
National Academy of Sciences

Owen Garriott
Astronaut
NASA/Johnson Space Center

Roger Hoffer
Professor, Department of Forestry and Natural Resources
Purdue University

Kenneth Kleinknecht
Manager, Procurement, Manufacturing and Tests for Spacecraft System
Martin Marietta

Charles Mathews
Consultant
Vienna, Va.

Jesco H. Von Puttkamer
Technical Engineer
Operations Management
NASA Headquarters

Edmond Reeves
Chief, Astrophysics Payload Branch
Spacelab Flight Division
NASA Headquarters

William Schneider
Vice President
Control Systems Activity
Computer Sciences Corp.

Robert Snyder
Chief, Separation Processes Branch
Marshall Space Flight Center

Airport System Development Advisory Panel

Don E. Kash, ***Chairman***
Director
Science and Public Policy Program
University of Oklahoma

James H. Anderson
Director, Office Buildings Division
General Services Department
E. I. du Pont de Nemours & Co., Inc.

Joseph Blatt
Consultant
Washington, D.C.

Clifford W. Carpenter
Manager, Airport Development
Boeing Commercial Aircraft Co.

Pierre Champagne
Director of Airport Planning
Transport Canada

H. McKinley Conway
President
Conway Publications

Charilyn Cowan
Staff Director, Committee on Transportation, Commerce and Technology
National Governors' Association

Thomas J. Deane
Vice President, Operating Facilities
Avis Rent-A-Car, Inc.

John Drake
Professor of Air Transportation
School of Aeronautics and Astronautics
Purdue University

William Garrison
Professor
Institute of Transportation Studies
University of California, Berkeley

Aaron Gellman
President
Gellman Research

John Glover
Supervisor, Transportation Planning
Port of Oakland, Calif.

Leonard Griggs
Airport Director
Lambert St. Louis International Airport

Richard L. Harris
Vice President, Public Finance
First Boston Corp.

John Hoyt
Principal Project Manager
Ralph M. Parsons Co.

Jack R. Hunt
President
Embry-Riddle Aeronautical University

Richard Judy
Director of Aviation
Dade County, Fla.

Alfred Kahn
Robert Julius Thorne Professor of Political Economy
Department of Economics
Cornell University

Leonard Martin
Vice President, Passenger Services
Piedmont Airlines

Dorn McGrath
Department of Urban and Regional Planning
George Washington University

Sonny Najera
Director
Division of Aeronautics
State of Arizona

Edmund Nelle, Jr.
President
Butler Aviation International

Jan Roskam
Ackers Distinguished Professor of Aerospace Engineering
University of Kansas

William Supak
Aviation Director
Port of Portland, Oreg.

William Wilson
Vice President, Properties and Facilities
Federal Express Corp.

Technology, Innovation, and Regional Economic Development Advisory Panel

William C. Norris, ***Chairman***
Chairman and Chief Executive Officer
Control Data Corp.

William J. Abernathy*
Professor
Graduate School of Business
Harvard University

William F. Aikman
President
Massachusetts Technology Development Corp.

Henry Cisneros
Mayor, City of San Antonio, Texas

Ella Francis
President
Parkside Association of Philadelphia

Aaron Gellman
President
Gellman Research Associates, Inc.

Don Lee Gevirtz
Chairman
The Foothill Group, Inc.

George W. Haigh
President and Chief Executive Officer
The Toledo Trust Co.

Quentin Lindsey
Science and Public Policy Advisor
Office of the Governor
State of North Carolina

Neal R. Pierce
Contributing Editor
National Journal

David V. Ragone
President
Case Western Reserve University

John Stewart
Assistant General Manager
Tennessee Valley Authority

Ellen Sulzberger Straus
President
WMCA Radio

Alexander B. Trowbridge
President
National Association of Manufacturers

Thomas L. Yount, Jr.
Commissioner of Employment Security
State of Tennessee

* Deceased.

Assessment of U.S. Passenger Rail Technology

Workshop: Railcar Manufacturing

Frank Cihac
Director of Technical and Research Services
American Public Transit Association

Ross Higginbotham
Director of Car Engineering
Mechanical Department
Amtrak

George Krambles
Consultant
Oak Park, Ill.

Richard Sklar
President
Entertainment Express Corp.

Jeffrey Stayer
Manager, Marketing, Planning, and Administration
Westinghouse Electric

Workshop: Magnetic Levitation

Robert Borcherts
Research Scientist
Ford Motor Co.

Mike Daly
Economic Development Director
City of Las Vegas, Nev.

John A. Darling
Director of Cost Analysis and Research
Santa Fe Railway

Ross Higginbotham
Director of Car Engineering
Mechanical Department
Amtrak

Roger Katz
Research Section Head
Sperry Corp.

George Krambles
Consultant
Oak Park, Ill.

Myles Mitchell
Director, Office of Freight and Passenger Research and Development
Federal Railroad Administration

Herbert Richardson
Associate Dean of Engineering
Massachusetts Institute of Technology

Richard Sklar
President
Entertainment Express Corp.

William Wieters
General Manager, Passengers Transport
Conrail

Workshop: Demand, Economic, and Institutional Considerations

Ross Capon
Executive Director
National Association of Railway Passengers

Steve Ditmeyer
Director of Research and Development
Burlington Northern Railroad

John Fischer
Transportation Analyst
Economics Division
Congressional Research Service

Anthony Gomez-Ibanez
Professor
John F. Kennedy School of Government
Harvard University

Ross Higginbotham
Director of Car Engineering
Mechanical Department
Amtrak

Mike Mates
Consultant
Washington, D.C.

Arrego Mongini
Deputy Associate Administrator
Northeast Corridor Improvement Project
Federal Railroad Administration

Gordon Peters
Senior Rail Transportation Specialist
Rail Division
New York State Department of Transportation

Lenore Sek
Transportation Analyst
Economics Division
Congressional Research Service
Library of Congress

Richard Sklar
President
Entertainment Express Corp.

Saul Sokolsky
Senior Engineer
Aerospace Corp.

Lou Thompson
Acting Associate Administrator
Passenger and Freight Services
Northeast Corridor
Federal Railroad Administration

Appendix B
OTA Act

Public Law 92-484
92nd Congress, H. R. 10243
October 13, 1972

An Act

To **establish an Office of Technology Assessment for the Congress us an aid in the identification and consideration of existing and probable impacts of technological application; to amend the National Science Foundation Act of 1950; and for other purposes.**

Be it enacted by the Senate and Rowe of Representatives of the United States of America in Congress assembled, That this Act may be cited as the "Technology Assessment Act of 1972".

Technology Assessment **Act of 1972.**

FINDINGS AND DECLARATION OF PURPOSE

SEC. 2. The **Congress hereby finds and declares that:**

(a) As technology continues to change and expand rapidly, its applications are-

(1) large and growing in scale; and

(2) increasingly extensive, pervasive, and critical in their impact, beneficial and adverse, on the natural and social environment,

(b) Therefore, it is essential that, to the fullest extent **possible, the consequences of technological Replications be anticipated, understood, and considered in determination of public policy on existing and emerging national problems.**

(c) The Congress further finds that :

(1) the Federal agencies presently responsible directly to the Congress are not **designed to provide the legislative branch with adequate and timely information, independently developed, relating to the potential impact of technological applications, and**

(2) the present mechanisms of the Congress do not and are not designed to provide the legislative branch with such information.

(d) Accordingly, it is necessary for the Congress to-

(1) equip itself with new and effective means for securing competent, unbiased information concerning the physical, biological, economic, social, and political effects of such applications; and

(2) utilize this information, whenever appropriate, as one factor in the legislative assessment of matters pending before the Congress, particularly in those instances where the Federal Government may be called upon to consider support for. or management or regulation of technological applications.

ESTABLISHMENT OF THE OFFICE OF TECHNOLOGY ASSESSMENT

Sec. 3. (a) In **accordance** with **the findings and declaration of purpose in section 2, there is hereby created the Office of** Technology **Assessment** (hereinafter referred to as the "Office") which shall be **within and responsible to the legislative branch of the Government.**

(b) The Office shall consist of a Technology Assessment Board (hereinafter referred to as the "Board") which shall formulate and promulgate the policies of the (Office, and a Director who shall carry out such pol;icies and administer the operations of the Office.

Technology Assessment Board.

(c) The basic function of the Office shall be to provide early indications of the probable beneficial and adverse impacts of the applications of technology and to develop other coordinate information which may assist the Congress. In carrying out such function, the Office shall :

Duties.

(1) identify existing or probable impacts of technology or technological programs;

(2) where possible, ascertain cause-and-effect relationships:
(3) identify alternative technological methods of implementing specific programs;
(4) identify alternative programs for achieving requisite goals;
(5) make estimates and comparisons of the impacts of alternative methods and programs;
(6) present findings of completed analyses to the appropriate legislative authorities;
(7) identify areas where additional research or data collection is required to provide adequate support for the assessments and estimates described in paragraph (1) through (5) of this subsection; and
(8) undertake such additional associated activities as the appropriate authorities specified under subsection (d) may direct.

(d) Assessment activities undertaken by the Office may be initiated upon the request of:
(1) the chairman of any standing, special, or select committee of either House of the Congress, or of any joint committee of the Congress, acting for himself or at the request of the ranking minority member or a majority of the committee members;
(2) the Board; or
(3) the Director, in consultation with the Board.

Infomation, availability.

(e) Assessments made by the Office, including information, surveys, studies, reports, and findings related thereto, shall be made available to the initiating committee or other appropriate committees of the Congress. In addition, an such information, surveys, studies, reports, and findings produced by the Office may be made available to the public except where-
(1) to do so would violatete security statutes: or
(2) the Board considers it necessary or advisable to withhold such information in accordance with one or more of the numbered paragraphs in section 552(b) of title 5, United States Code.

81 Stat. 54.

TECHNOLOGY ASSESSMENT BOARD

Membership.

SEC. 4. (a) The Board shall consist of thirteen members as follows:
(1) six Members of the Senate, appointed by the President pro tempore of the Senate, three from the majority party and three from the minority party;
(2 six Members of the House of Representatives appointed by the speaker of the House of Representatives, three from the ma majority party and three from the minority party; and
(3) the Director, who shall not be a voting member.

Vacancies,

(b) Vacancies in the membership of the Board shall not affect the power of the remaining members to execute the functions of the Board and shall be filled in the same mannner as in the case of the original Appointment.

Ch. 1 man and vice chai rman.

(c) The Board shall select a chairman and a vice chairman from among its members at the beginning of each Congress. The vice chairman shall act in the place and stead of the chairman in the absence of the chairman. The chairmanship and the vice chairmanship shall ● ltarnate between the Senate and the House of Representatives with each Congress. The chairman during each even-numbered Congress shall be selected by the Members of the House of Representatives on the Board from among their number. The vice chairman during each

Congress shall be chosen in the same manner from that House of Congress other than the House of Congress of which the chairman is a Member.

(d) The Board is authorized to sit and act at such plain and times during the sessions, recesses, and adjourned periods of Congress and upon a vote of a majority of its members, to require by subpena or otherwise the attendance of such witnesses and the production of such books, papers, and documents, to administer such oaths and affirmations, to take such testimony, to procure such printing and binding, and to make such expenditures, as it deems avisable. The Board may make such rules respecting its organization and procedures as it deems necessary. except that no recommendation shall be reported from the Board unless a majority of the Board assent. Subpenas may he issued over the signature of the chairman *of* the Board or of any voting member designated by him or by the *Board,* and ma be served by such person or persons as may be designated by such h airman or member. The chairman of the Board or any voting member thereof may administer oaths or affirmations to witnesses. Meetings. Subpena.

DIRECTOR AND DEPUTY DIRECTOR

SEC. 3. (a) The Director of theOffice of Technnlogy Assessment shall be appointed by the Board and shall serve for a term of six years unless sooner removed by the Board. He shall receive basic pay at the rate provided for level III of the Executive Schedule under section 5314 of title 5, United States Code. Appointment. Compensation. 83 Stat. 863.

(b) In addition to the powers and duties vested in him by this Act. the Director shall exercise such powers and duties as may be delegated to him by the Board.

(c) The Director may appoint with the approval of the Board, a Deputy Director who shall perform such functions as the Director may prescribe and who shall be Acting Director during the ● lmence or incapacity of the Director or in the event of a vacancy in the office of Director. The Deputy Director shall receive basic pay at the rate provided for level IV of the Executive Schedule under section 5315 of title 5, United States Code.

(d) Neither the Director nor the Deputy Director shall engage in any other business, vocation, or employment than that of serving ● s such Director or Deputy Director, as the case may be; nor shall the Director or Deputy Director, except with the approval of the Board, hold any office in, or act in any capacity for, any organization, agency, or institution with which the Office makes any contract or other arrangement under this Act. Employment restriction.

AUTHORITY OF THE OFFICE

SEC. 6. (a) The Office shall have the authority, within the limits of available appropriations, to do all things necessary to carry out the provisions o this Act, including. hut without being limited to the authority to--

(1) make full use of competent personnel and organisations outside the Office, public or private, and form special ad hoc task forces or make other ● rmngements when appropriate;

(2) enter into contracts or other arrangements as may be necessary for the conduct *of the* work of the Office with any agency or instrumentality of the United States, with any State, territory, Contracts.

or possession or any political subdivision thereof, or with any person, firm, association, corporation or educational institution, with or without reimbursement, without performance or other bonds, and without regard to section 3709 of the Revised Statutes (41 U.S.C. 5) ;

(8) make advance, progress, and other payments which relate to technology assessment without regard to the provisions of section 3648 of the Revised Statutes (31 U.S.C. 529);

(4) accept and utilize the services of voluntary and uncompensated personnel necessary for the conduct of the work of the Office and provide transportation and subsistence as authorized by section 5703 of title 5, United States Code, for persons serving without compensation;

80 Stat. 499; 93 *Stat.* 190.

(5) acquire by purchase, lease, loan, or gift, and hold and dispose of by sale, lease, or loan, real and personal property of all kinds necessary for or resulting from the exercise of authority granted by this Act; and

(6) prescribe such rules and regulations as it deems necessary governing the operation and organization of the Office.

Recordkeeping.

(b) Contractors and other parties entering into contracts and other arrangements under this section which involve costs to the Government shall maintain such books and related records as will facilitate an effective audit in such detail and in such manner as shall be prescribed by the Office, and such books and records (and related documents and papers shall be available to the Office and the Comptroller General of the United States, or any of their duly authorized representatives, for the purpose of audit and examination.

(c) The Office in carrying out the revisions of this Act shall not, itself, operate any laboratories, pilot plants or test facilities.

Agency cooperation

(d) The Office is authorized to secure directly from any executive department or agency information, suggestions, estimates, statistics, and technical assistance for the purpose of carrying out its functions under this *Act.* Each such executive department or agency shall furnish the information, suggestions, estimates, statistics, and technical assistance directly to the Office upon its request.

Personnel detail.

(e) On request of the Office, the head of any executive department or agency may detail, with or without reimbursement, any of its personnel to assist the Office in carrying out its functions under this Act.

(f) The Director shall. in accordance with such policies as the Board shall prescribe, appoint and fix the compensation of such 'personnel as may be necessary to carry out the provisions of this Act.

ESTABLISHMENT OF THE TECHNOLOGY ASSESSMENT ADVISORY COUNCIL

SEC. 7. (a) The Office shall establish a Technology Assessment Advisory Council (hereinafter *referred to as* the "Council"). The Council shall be composed of the following twelve members:

Membership.

(1) ten members from the public, to be appointed by the Board. who shall be persons eminent in one or more fields of the physical. biological, or social sciences or engineering or experienced in the administration of technological activities, or who may be judged qualified on the basis of contributions made to educational or public activities;

(2) the Comptroller General; and

(3) the Director of the Congressional Research Service of the Library of Congress.

(b) The Council, upon request by the Board, shall— Duties.

(1) review and make recommendation to the Board *on* activities undertaken by the Office or on the initiation thereof in accordance with section 3(d);

(2) review and make recommendations to the Board on the findings of any assessment made by or for the Office; and

(3) undertake such additional related tasks as the Board may direct.

(c). The Council by majority vote, shall elect from its members appointed under subsection (a) (1) of this section a Chairman and a Vice Chairman, who shall nerve for ouch time and under such conditions as the Council may prescribe. In the absence of the Chairman in the event of his incapacity, the Vice Chairman shall act as Chairman. Chairman and Vice Chairman.

(d) The term of office of each member *of the Council appointed* under subsection (a) (1) shall be four years except that any such member appointed to *fill* a vacancy occurring prior to the expiration of the term for which his predecessor was appointed shall be appointed for the remainder of such term. No person shall be appointed a member of the Council under subjection (a) (1) more than twice. Terms of the members appointed under subsection (a)(1) shall be staggered so as to establish a rotating membership according to such method as the Board may devise. Term of office.

(e) (1) The members of the Council other than those appointed under subjection (a) (1) shall receive no pay for their services as members *of* the Council. but shall be allow necessary travel expenses (or, in the alternative, mileage for use of privately owned vehicles and a per diem in lieu of subsistance at not to exceed the rate prescribed in sections 5702 and 5704 of title 5, United States Code), and other necessary expenses incurred by them in the performance of duties vested in the Council, without regard to the provisions of subchapter 1 of chapter 57 and section 5731 of title 5. United States Code, and regulations promulgated thereunder. Travel expenses. 80 Stat. 498; 83 Stat. 190. 5 USC 5701.

(2) The members of the Council appointed under subsection (a) (1) shall receive compensation for each day engaged in the actual performance of duties vested in the Council at rates of pay not in excess of the daily equivalent of the highest rate of basic pay set forth in the General Schedule of section 5332(a) of title 5, United States Code, and in addition shall be reimbursed for travel, subsistence, and other necessary expenses in the manner provided for other members of the Council under paragraph (1) of this subsection. Compensation.

UTILIZATION OF THE LIBRARY OF CONGRESS

SEC. 8. (a) To carry out the objective of this Act, the Librarian of Congress is authorized to make available to the Office such services and assistance of the Congressional Research Service as may be appropriate and feasible.

(b) Such services and assistance made available to the Office shall include, but not be limited to, all of the services and assistance which the Congressional Research Service is otherwise authorized to provide to the Congress.

(c) Nothing in this section shall alter or modify any services or responsibilities other than those performed for the Office, which the Congressional Research Service under law performs for or on behalf

of the Congress. The Librarian is, however, authorized to establish within the Congressional Research Service such additional divisions, groups, or other organizational entities as may be necessary to carry out the purpose of this Act.

(d) Services and assistance made available to the Office by the Congressional Research Service in accordance with this section may be provided with or without reimbursement from funds of the Office, as agreed upon by the Board and the Librarian of Congress.

UTILIZATION OF TIIE GENERAL ACCOUNTING OFFICE

Sec. 9. (a) Financia1 and administrative services (including those related to budgeting, accounting, financial reporting, personnel, and procurement) and such other services as may be appropriate shall be provided the Office by the General Accounting Office.

(b) Such services and assistance to the Office shall include, but not be limited to, all of the services and assistance which the General Accounting Office is otherwise authorized to provide to the Congress.

(c) Nothing in this section shall alter or modify any services or responsibilities, other than those performed for the Office, which the General Accounting Office under law performs for or on behalf of the Congress.

(d) Services and asistance made available to the Office by the General Accounting Office in accordance with this section may be provided with or without reimbursement from funds of the Office, as agreed upon by the Board and the Comptroller General.

COOPERATION WITH THE NATIONAL SCIENCE FOUNDATION

SEC. 10. (a) The Office shall maintan a continuing liaison with the National Science Foundation with respect to-

(1) grants and contracts formulated or activated by the Foundation which are for purposes of technology assessment; and

(2) the promotion of coordination in areas of technology assessment, and t e avoidance of unnecesary duplication or overlapping of research activities in the development of technology assessment techniques and pro programs.

Scientific programs, financing. 92 Stat. 360.

(b) Section 3(b) of the National Science Foundation Act of 1950, as amended (42 U.S.C. 1862(b)), is amended to read as follows:

"(b) The Foundation is authorized to initiate and support specific scientific activities in connection with mutters relating to International cooperation, national security, and the effects of scientific applications upon society by making contracts or other arrangements (including grants, loans, and other forms of assistance) for th conduct of such nativities. When initiated or supported pursuant to requests made by any other Federal department or agency, including the office of Technology Assessment, such activities shall be financed whenever feasible from funds transferred to the Foundation by the requesting official as provided in section 14(g), and any such activities shall be unclassified and shall be identified by the Foundation as being undertaken at the request of the appropriate official,"'

64 Stat. 156; 32 Stat. 365, 42 USC 1873.

ANNUAL REPORT

SEC. 11. The Office shall submit to the Congress an annual report which shall include, but not be limited to, an evaluation of technology. assessment techniques and identification, insofar as may be feasible. of tecnological areas and programs requiring future analysis. Such report shall be submitted not later than March 15 of each year.

APPROPRIATION

Sac. 12. (a) To enable the Office to carry out its powers and duties, there is hereby authorized to be appropriated to the Office out of any money in the Treasury not otherwise appropriated, not to exceed $5,000,000 in the aggregate for the two fiscal years ending June 30, 1973, and June 30,194, and thereafter such sums as may be necessary.

(b) Appropriations made pursuant to the authority provided in subsection (a) shall remain available for obligation for expenditure, or for obligation and expenditure for such period or periods as may be specified in the Act making such appropriations.

Approved October 13, 1972.

LEGISLATIVE HISTORY:

HOUSE REPORTS: No. 92-469 (Comm. on Science and Astronautics) and No. 92.1436 (Comm. of Conferance).
SENATE REPORT No. 92-1123 (Comm. on Rules and Administration).
CONGRESSIONAL RECORD, Vol. 118 (1972):
Feb. 6, considered and passed House.
Sept. 14, considered and passed Senate, unended.
Sept. 22, Senate agreed to conference report.
Oct. 4, House agreed to conference report.

U . S . GOVERNMENT PRINTING OFFICE : 1984 O - 25-360